

March 29, 2013

VIA EMAIL

Mr. Andre Algazi
Department of Toxic Substances Control
10001 I Street
Sacramento, CA 95814

Subject: Thermostat Recycling Corporation's 2012 Annual Report for California

Dear Mr. Algazi:

Attached is TRC's annual collection report for calendar year 2012. TRC has made its best effort to provide a comprehensive report on its efforts to promote the collection program in California and improve the program's environmental outcomes. A copy of this report may be found on TRC's website at www.thermostat-recycle.org.

TRC continues to aggressively market its program in California and the attached report describes a number of modifications to the program in an effort to increase the number of mercury switch thermostats recovered from California in 2013.

Sincere Regards,



Mark Tibbetts
Executive Director

Cc: TRC Member Representatives

Collection Data

Exhibit 1: 2012 California Collections by Brand

| Brandholder | Number Thermostats | Number Switches | Total lbs Mercury |
|------------------------------|--------------------|-----------------|-------------------|
| Bard | 48 | 144 | 0.89 |
| Burnham Holdings | 13 | 29 | 0.18 |
| Carrier | 831 | 2,704 | 16.76 |
| Climate Master | 13 | 37 | 0.23 |
| General Electric Corporation | 97 | 259 | 1.61 |
| Goodman Global | 11 | 25 | 0.16 |
| Grainger | 2 | 3 | 0.02 |
| Honeywell | 11,983 | 23,507 | 145.74 |
| Hunter Fan | 5 | 5 | 0.03 |
| Invensys | 508 | 572 | 3.55 |
| ITT Corporation | 169 | 181 | 1.12 |
| Lear Siegler | 1 | 2 | 0.01 |
| Lennox | 173 | 365 | 2.26 |
| Lux Products | 254 | 265 | 1.64 |
| McQuay International | 44 | 129 | 0.80 |
| Nordyne Corporation | 20 | 63 | 0.39 |
| PSG Controls | 18 | 33 | 0.20 |
| Rheem | 51 | 134 | 0.83 |
| Sears Holdings | 45 | 52 | 0.32 |
| Trane | 116 | 344 | 2.13 |
| Vaillant Corporation | 9 | 9 | 0.06 |
| White Rogers | 1,689 | 2,307 | 14.30 |
| York/Johnson Controls | 53 | 159 | 0.99 |
| NOM | 146 | 197 | 1.22 |
| Loose Switches | - | 3,853 | 23.89 |
| TOTAL | 16,299 | 35,378 | 219 |

In 2012, TRC recovered 219 pounds of mercury from 16,299 intact mercury thermostats and 3,853 additional loose mercury switches from California collection locations for a total count of 18,291 thermostats (mercury thermostats recovered from California locations averaged 1.93 switches per thermostat in 2012, down from 2.05 in 2011).

TRC recovered 15,313 thermostats from HVAC wholesale distributor collection locations, 624 from HHW locations and 23 from a single retail location.

Waste Mercury-Added Thermostat Management

Bins with waste mercury-switch thermostats are received at the fulfillment/processing center in Golden Valley, Minnesota. The facility is owned and operated by Honeywell International under contract with TRC.

Bins are received at the loading dock and sent to the TRC processing area. The bin and plastic liner are opened and the contents are identified, sorted, and tallied. The following data is recorded for each bin returned and processed: bin number, business name (location name), city, state, zip code, date returned, number of thermostats and mercury switches by manufacturer and any non-conforming material.

The bin is returned to the location that sent it in with a new prepaid address label within 72 hours of receipt. The thermostats are stored and staged in a plastic lined carton in a storage area for final processing. The containers are dated and processed in order received, first in-first out.

The containers are returned from the storage area to the TRC processing area to have the mercury bulbs removed from the plastic housing. Universal Waste Regulations require the disposal of waste within 12 months of generation. TRC's processor requires that the disposal occur within 6 months of generation and TRC follows the more stringent requirement. Small quantities of thermostats are removed from the container, which is then closed again, and placed at the bulb removal workstation on a tray that contains any potential mercury spillage. The bulbs are removed from the thermostats and placed into a 2 quart container at the work station. If a bulb breaks and the mercury spills, the work area is designed to contain the spillage and the

operators are trained in the clean-up and disposal of mercury. The TRC processing area is equipped with special mercury vacuum cleaners and the work area is vacuumed at the end of the work day to assure that any spillage is cleaned up and not left to evaporate.

The 2 quart container is emptied into a special 55 gallon drum which is labeled and dated according to regulations. The drum is sealed with a band and is only opened when contents are being added to it. Special negative pressure venting assures any fumes are drawn away and vented when the drum is opened.

The 55 gallon drum is then shipped to Bethlehem Apparatus Corporation in Hellertown, Pennsylvania for final processing of the mercury ampoules (switches). Bethlehem Apparatus meets or exceeds all local, state, federal and EPA regulations for the management of the product. Bethlehem's approvals for mercury recovery/recycling include:

- EPA - identification No. PAD002390961 (Bethlehem Apparatus Co., Inc.)
- EPA BDAT Requirement - satisfied by all recovery operations
- CERCLA (Comprehensive Environmental Response Compensation and Liability Act)
- Pennsylvania Department of Environmental Protection

The facilities' processing follows all EPA guidelines and regulations. TRC has a facility license from Hennepin County Minnesota for the operation of the TRC. Honeywell, Inc. has a Hazardous Waste Generator license from Hennepin County. All persons who handle mercury thermostats as part of the TRC operation receive training in the handling of Hazardous Waste and Universal Waste.

Program Education and Outreach

TRC marketing and promotion efforts targeted key audiences in California. Our objectives are to raise awareness of key components of California's mercury thermostat law and to encourage the recycling of waste mercury thermostats. Below is a summary of activities and channels we utilized in support of this effort.

Development of Written Materials and Signage for Collection Points and Key

Stakeholders—TRC developed and posted on its website (www.thermostat-recycle.org) a Promotional Toolkit which contains templates of a number of items for collection points to download and reproduce:

In 2012, TRC added one new item to the toolkit: a vehicle sticker (See Exhibit 2) for HVAC contractors to promote their participation in the program. TRC maintains an inventory of these stickers and provides them to contractors at no-cost. They are distributed upon request, and at industry events.

Wholesaler/HHW Engagement—California law requires manufacturers to avail collection containers to HVAC wholesale distributors, thermostat retailers, and local governments upon request.

In **May 2012**, TRC sent 385 letters to HVAC wholesale distribution collection locations in California. The letter (See Appendix A) contained a simple form requesting location information and the bin number(s) currently at the location. To incent response, respondents were entered into a drawing for a \$100 visa gift card. The initial mailing had a 35% response rate. In **July 2012** follow-up correspondence was sent to unresponsive locations and TRC received 70 additional responses—for a total response rate of 53%. TRC staff also contacted locations via telephone to validate and clarify responses. Responses were used to update TRC's California location list and also served as a reminder to ship containers to TRC

In **November 2012**, TRC sent postcards to approximately 450 collection locations in California, the majority of which were wholesale distributors, reminding them to return TRC's containers if they had not done so within a year. The card also provided TRC's toll-free number and email address to for additional information or to request replacement shipping labels and/or bin liners.

In **December 2012**, TRC sent a solicitation to approximately 70 HVAC wholesale distributor locations in state the TRC identified as not having a TRC recycling container. TRC generated the list of locations through a combination of public and paid sources.

TRC exhibited at the **February 2012** Johnstone Supply annual meeting held in San Diego. Johnstone Supply is a buying cooperative and annually hosts an invitation-only trade show. TRC staff spoke directly with representatives from most, if not all of Johnstone's California locations during this event. TRC stressed the importance of participation and the potential regulatory consequences for not doing so.

In **November 2012**, TRC staff visited nearly approximately 20 wholesale distributor locations in the Los Angeles area. The intent was to follow-up with locations that had been visited the prior year. Many had referenced the recently received TRC reminder postcard and had just returned the container. All the locations had signage and bins.

Exhibit 2: Example of New Print Collateral—Vehicle Cling Sticker



In partnership with the Heating, Air-Conditioning & Refrigeration Distributors International (HARDI), TRC re-launched the Mercury Thermostat Recycling Awards in **May 2012**. The award(s) are intended to incent participation in the program by recognizing the distributor(s) that recovered the most mercury thermostats and/or developed innovative strategies to promote the program at their branch location(s).

TRC made several changes following the inaugural 2011 program. To increase participation, TRC branded the award program as the ***Big Man on the Planet*** award and challenged distributors to, “be in it to win it!” TRC developed custom invitation cards and mailed them to the principals of the 300 plus HARDI members to solicit their participation in the award program. Email solicitations were also sent. To facilitate participation, TRC also printed and distributed custom window clings, counter cards, and flyers to participating locations.

To recognize participating distributors, TRC ran a ¼ page full-color advertisement in the **October 2012** edition of *Distribution Center Magazine*, which was also the “show” issue for the HARDI annual meeting.

The award was presented at HARDI’s annual meeting in **October 2012**. California’s US Air Conditioning Distributors (USACD) missed winning the award by less than .16 lbs of mercury.

Exhibit 3: *Distribution Center Magazine BMOP Advertisement*



Game on for BMOP.

Thanks go out to the companies listed here who are one step closer to being the 2012 BIG MAN ON PLANET. The winner will be announced in October at HARDI's Annual Conference.

Each time a mercury thermostat is recycled through us, a clear message is sent: we want to protect the environment by keeping mercury out of the waste stream. And that's why everyone on this list should be proud.

Keep recycling mercury thermostats. And make sure your team is ready to help you win BIG MAN ON PLANET bragging rights next year.

thermostat-recycle.org

2J Supply
APR Supply Co.
Coburn Supply Co.
HVAC Sales & Supply Co., Inc.
Inco Distributing, Inc.
Johnson Supply
Johnstone Supply, Inc.
Lute Supply
Refrigeration Sales Corporation
RHI Supply
The Star Supply Company
US Air Conditioning Distributors, Inc.
Victor Distributing Company

HARDI resources that fuel your competitive advantage
Heating, Air-Conditioning & Refrigeration Distributors International

Thermostat Recycling CORPORATION
an industry-funded non-profit

Summary of Additional Education and Outreach by Channel

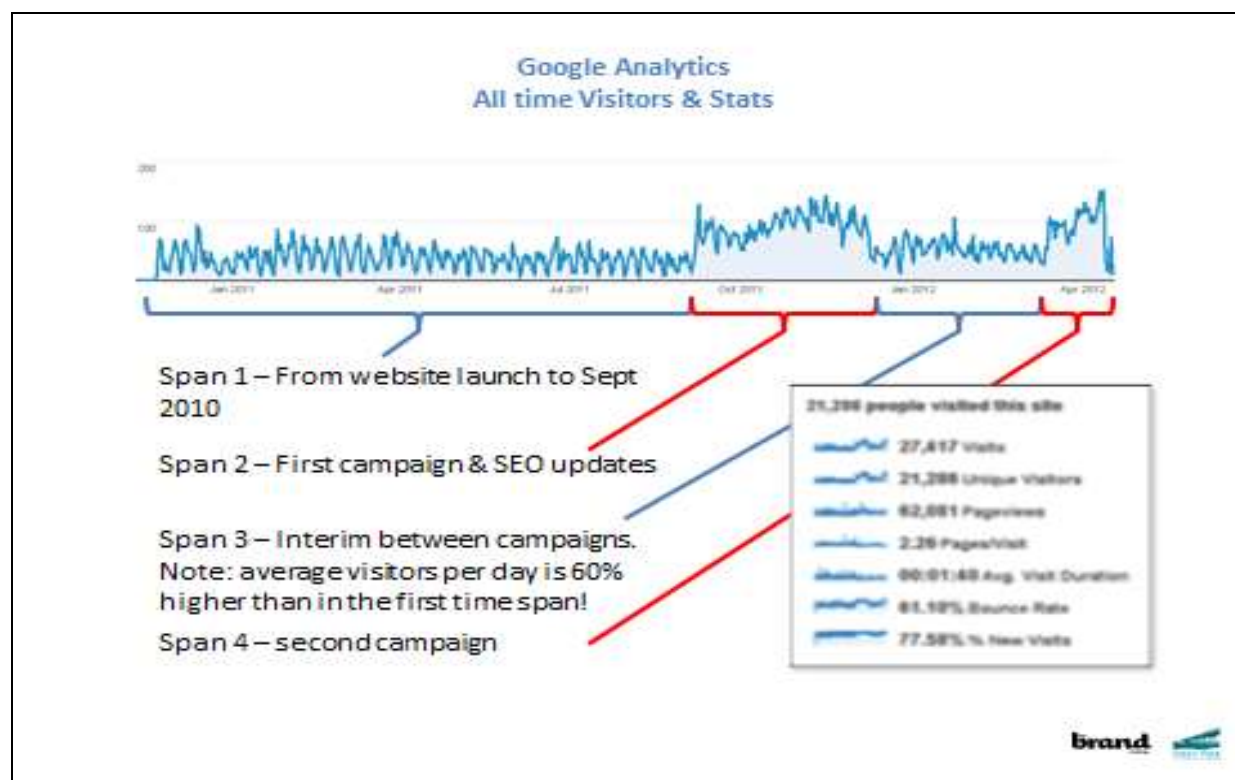
TRC conducted an array of activities intended to raise awareness of California's mercury thermostat disposal ban, mandatory HVAC contractor recycling, and the ease of compliance through TRC's collection program.

Earned Media—In 2012, TRC continued to enjoy positive and frequent coverage within the industry trade press. TRC issued a number of media releases and most were picked up, generating additional exposure for the program.

Notably, TRC continued to receive coverage in industry leading publications such as *The Air Conditioning and Refrigeration News* (110,000 circulation) and *HVACR Business* (circulation 30,000). HARDI ran a two-page article on the program in its *Conference Daily*, provided at no-cost to all attendees of HARDI's annual meeting.

Website— Website traffic continued to grow in 2012. TRC has nearly doubled the monthly visitors to its site following a search engine optimization in fall 2011, along with other efforts to drive traffic to the site. Exhibit 4 below highlights the results of these efforts. In 2012 California accounted for approximately 11% of TRC's total website traffic.

Exhibit 4: Website Traffic Increase over Time



Web-based advertising— TRC continued the use of rotating banner advertisements in 2012, albeit with changes in scheduling and scope.

TRC ran web advertisements (Exhibit 7) on the websites *contractingbusiness.com* (160x600 skyscraper) and *hvac-talk.com* (300x250 medium rectangle) during the months of **September, October, November, and December** (four continuous months in 2012, as opposed to two consecutive months in the spring and fall in 2011). Together, the websites average over 2 million pages views and 340,000 unique visitors per month. HVAC-Talk.com, an online discussion community for HVAC technicians, boasts 145,000 registered users. HVAC-talk accounted for 5% of annual referral traffic to TRC's website.

HVAC-talk.com and contractingbusiness.com, published by Penton Media, Inc., serve a national audience. According to their 2013 media kit, 100% of subscribers specify, design, install/service and/or make product selection for HVAC systems and equipment in residential, commercial, industrial, and institutional buildings.

Consumer Ads—TRC continued to test various consumer advertising strategies in 2012 and placed web banner advertisements in the *Sacramento Bee* (Leaderboard 728x90 above-the-fold), *San Francisco Chronicle* (Leaderboard 728x90 above-the -fold), and *LA Times* (Leaderboard, 728x90, above-the-fold).

The advertisement had a simple message for consumers; encouraging them to engage with their HVAC contractors on the issue of proper disposal of waste mercury thermostats. Exhibit 5 below shows the first of three views of the interactive advertisement. The advertisement ran between April 16th and May 13.

Exhibit 5: Web Consumer advertisement (fixed image- first of three)



2012 IHACI Summer Energy Savings Guide, Los Angeles Daily News—TRC ran a 4x10 color advertisement in the guide (Exhibit 6). The guide reached over 400,000 readers of the Daily News and featured editorial and emphasis on quality installation practices, utility programs, and energy savings. The guide was published in **July 2012**.

Google Ad-words— In 2011, TRC developed and deployed a Social Media strategy that leveraged the power of Google and the popularity of Facebook. This campaign, which ran from mid-September through early December, geo-targeted contractors and consumers in states with mercury thermostat disposal bans, including California, in an effort to create awareness and increase thermostat collections.

Exhibit 6: LA Daily News Advertisement



1. Save energy
2. Protect the environment

Two very good reasons why it may be time to replace your mercury thermostat.



Round, square, rectangular. Thermostats come in many shapes and sizes. And if the one you have has a lever or a dial and is more than 10 years old, it's time for an upgrade. Newer programmable thermostats can help you save energy and won't contain mercury.

And if you or your contractor replaces a thermostat that contains mercury, recycle it. Not only is it a good idea, it's California law. Go to thermostat-recycle.org to find out who in your area collects and recycles old thermostats.

 **Thermostat Recycling CORPORATION**
an industry-funded non-profit
thermostat-recycle.org

Exhibit 7: Web Banner Advertisement (300x250 fixed image version)



 **Thermostat Recycling CORPORATION**
an industry-funded non-profit

Click here to find out just how easy, easy is.

www.thermostat-recycle.org

Ads and landing pages were developed with variable messages targeting both audiences. What made this campaign unique is the fact that it was targeted. Advertisements appeared on Google search results pages after an individual searched terms related to TRC's mission (E.g. thermostat replacement, contracting recycling regulations, mercury thermostat recycling, programmable

thermostats, etc.). Similarly, the Facebook campaign targeted users over 18 who "like" industry-relevant topics or organizations (i.e. renovating, renovators, HVAC, HVAC Technicians, home repair, etc.).

In 2012, TRC continued to refine new media strategies to promote the program. TRC implemented a Google AdWord campaign in early spring of 2012 targeting states with mercury thermostat disposal bans, including California. The campaign yielded over 750,000 impressions with nearly 2,000 "clicks" and a click-through rate of 0.26% (a rate consistent with this type of campaign). The conversion rate on the landing page was quite high at 8.3% ("conversion" meaning a visitor clicked on the link to TRC's collection location search tool on the landing page). During the campaign, "California" visitors to TRC's website increased by 720%.

Exhibit 8: Google "Landing Page:



Print Advertisement HVACR Business Magazine— TRC placed a ½ page color advertisement in a special section on thermostats in the April addition.

The purpose of this placement was two-fold:

- 1) Continue to build upon brand/program awareness of other promotional campaigns
- 2) Accelerate the pace of mercury thermostat replacement by helping contractors recognize opportunities to generate additional revenues by proactively replacing older mechanical (mercury) thermostats.

HVACR Business is a national publication with approximately 30,000 qualified subscribers, of which approximately 3,295 are within California.

Full-Color Tip-in Insert Indoor Comfort News—TRC placed 5x7 full-color inserts in the **May, June, and July** issues of *Indoor Comfort News (ICN)*. *ICN* is published by the Institute of Heating Air Conditioning Industries Inc. (IHACI). IHACI is California largest HVAC trade group and its monthly magazine has approximately 17,000 subscribers in California.

The backside of the insert (exhibit 9 below) focused on the ease of accessing the program and the logos of several prominent California distributors participating in the program.

Exhibit 9: Back of Tip-In Insert *Indoor Comfort News*



The back of the insert is divided into two columns. The left column features the headline "And we're the ones who make it easy." in green. Below it, a paragraph explains that recycling mercury thermostats is a hassle, but TRC makes it simple by providing a drop-off service. A second paragraph states that the service is free and easy. At the bottom of the left column is the Thermostat Recycling Corporation logo, which includes a green recycling symbol and the text "an industry-funded non-profit" and "www.thermostat-recycle.org". A small footnote at the very bottom states: "*The management of waste mercury thermostats is regulated by federal, state, and/or local law and disposal of mercury thermostats in solid waste is prohibited in CA, IL, ME, MA, MN, NH, RI, OR, RI, PA, and VT". The right column has the heading "These HVAC wholesale distributors are proud to be TRC collection partners." followed by five logos: Baker Distributing Company, Johnstone Supply, R.E. Michel Company, Inc., PartsPlus, and US Air Conditioning.

Exhibit 10: Advertisement Copy *HVACR Business Magazine*



The advertisement features two handwritten-style green headlines: "1. Save your customers money." and "2. Protect the environment." Below these is the text "Two very good reasons why it's time to start a mercury thermostat upgrade crusade." A paragraph explains that thermostats come in many shapes and sizes, and upgrading to newer, programmable models helps save energy costs and avoids mercury. To the right of this text are three images of different thermostat models: a round one, a square one, and a rectangular one. Below the text is another paragraph encouraging customers to become heroes by saving them money and protecting the environment by recycling. At the bottom right is the Thermostat Recycling Corporation logo, including the text "an industry-funded non-profit", the phone number "888.266.0550", and the website "thermostat-recycle.org".

Tradeshows—TRC attended and exhibited at the following trade shows relevant to California:

January 23 - 25: AHR Expo. Chicago. AHR Expo is the largest national trade show for the HVACR industry. TRC staff exhibited and promoted the program to HVAC contractors, manufacturers, and distributors. The show had a total registered attendance of over 61,000. Specifically, 1,000 attendees were from New England.

February 21 - 23: Johnstone Supply Annual Membership Meeting. San Diego, California. Johnstone Supply is a cooperative wholesaler distributor of HVAC parts and equipment with 350 locations nationwide. Johnstone's Annual Membership meeting is

invitation only and TRC was invited to attend and exhibit as part of an ongoing effort with Johnstone's corporate staff to encourage and expand the cooperative's member's participation in the program. The event is well attended by owners and senior staff and it was a unique opportunity to engage directly with key decision makers.

March 3: The Flow Expo. Plumbing-Heating-Cooling Contractors Association of California. Long Beach, California. The Flow Expo was one day event at the Long Beach convention center. TRC sponsored the event and hosted a seminar on mercury thermostat recycling.

March 5-7: Indoor Air Expo. Las Vegas, Nevada. The show is a part of the Air Conditioning Contractors of America annual meeting. Approximately 700 attendees attended the event.

May 12, 2012: PHCC-San Diego annual trade show. The show was a one-day event that targeted plumbing and heating/cooling professionals.

August 5-8: California Resource Recovery Association Zero Waste Conference. TRC exhibited at the event and also participated in a panel discussion on EPR programs that included carpet and paint.

September 19 - 21: Comfortech. Chicago, Illinois. Comfortech is a national tradeshow for owners and managers of residential and commercial HVACR, Hydronics/Plumbing contracting firms to see the latest products and equipment.

October 8-12: ACCA Building Performance and Service Managers Forum. Austin, Texas. A week-long series of educational seminars focusing on building performance and service managers sponsored by the Air Conditioning Contractors of America. TRC exhibited and sponsored the registration bags.

October 6 - 9: Heating, Air-Conditioning & Refrigeration Distributors International (HARDI). Orlando, Florida. TRC exhibited and participated in the "Booth Program," which provides for 1-on-1 sessions with senior executive staff from HARDI member companies. This event targeted representatives of approximately 80% of the wholesale market for HVACR products. TRC also presented its re-vamped "Big Man on the Planet Award."

November 14: IHACI's annual trade show. Pasadena, California. IHACI is California's largest HVAC trade group and annually sponsors a one-day trade show at the Pasadena Convention Center. Attended by approximately 5,000 contractors and technicians, this is California's largest HVAC trade show.

To boost booth traffic, TRC conducted a raffle of a rechargeable drill/impact driver with an approximate value of \$200. Over 750 attendees participated in the raffle.

Direct Mail— TRC started a direct-mail campaign in California in 2012. TRC mailed a full-color postcard (see Exhibit 8) to the principals of approximately 5,500 California HVAC

contracting businesses in **April, May, September, and October**. TRC sourced the list of HVAC contractors from a commercial list supplier.

Exhibit 11: Front & Back of Postcard



Miscellaneous Outreach—It is difficult to categorize and capture many of TRC’s activities in a narrative based report. While paid placements and copy is easy to report, social media and other activities are more difficult to capture. Some good examples of “miscellaneous” outreach include:

- TRC staff was invited by Honeywell International to present two webcasts through its 2012 “elearning LIVE schedule.” The first was entitled “Thermostat Mercury Recovery an Easy way to be Green,” and a second was entitled, “Understanding Health, Safety and Regulations in Managing Mercury Waste.” Archived copies remain available on Honeywell’s website at www.forwardthinking.honeywell.com/training/videos.html.
- TRC continued to encourage the placement of links to its website on member company websites and is pleased to report that links from members such as Honeywell, Emerson/White Rodgers, and Lennox are significant sources of referral traffic to TRC’s website.
- Stakeholder Outreach—TRC sent correspondence with a short newsletter article to 24 housing (multi-family) and 19 HVAC trade groups in California. This included SMACNA and BOMA chapters in the state.

- TRC provided Honeywell rights for the use of its updated logo in product collateral. For example, the following was cut from product literature:



- TRC staff made considerable effort conducting direct outreach to senior management of HVAC wholesale distributors in an effort to 1) better integrate the program at their branch locations to ensure staff awareness of the program and program procedures and 2) leverage distributors' position in the channel to promote the availability of the program to their customers.
- Sent correspondence to the California Fire Fighters' Association urging them to remind members to remove any mercury thermostats in their pre-burn plans of homes burned for training purposes.

Program Expenses

TRC is a national voluntary program that is also operating nine mandatory programs on behalf of its manufacturer members. As most promotional activities are run concurrently in multiple states, tracking and isolating expenses specifically to California is not possible. Below is a summary of TRC's national program expenses for 2012. A copy of TRC's 2011 IRS Form 990 is attached.

Exhibit 12: 2012 Program Administrative Expenses

| Program Component | TRC Program |
|---|--------------------|
| TRC Staff and Administration [†] | \$246,162 |
| Recycling Costs | 335,613.25 |
| Insurance | \$2,430 |
| Statutory Incentive Payments | \$47,166 |
| New Collection Containers | \$27,495 |
| Travel | \$25,994 |
| Legal | \$66,303 |
| Direct Expense for Marketing & Outreach | \$169,840 |
| Total (expenses) | \$921,004 |

TRC expenses include:

- TRC Staff and Administration: Includes staff, office expenses, telecommunications, information technology, office supplies, office lease, and other administrative expenses. Includes staff labor costs to implement California program.
- Insurance: Pollution and liability insurance.
- TRC made \$47,166 in direct incentive payments on behalf of manufacturers as required by Maine and Vermont law. This does not reflect the administrative costs associated with the incentive program.
- Travel: All travel in 2012 and includes travel to trade shows to promote program.
- Recycling Costs: All costs (including labor) associated with transporting, processing, and properly managing waste thermostats. Also includes cost associated with fulfilling new bin orders and data entry of bin receipts.
- New Collection Containers: Direct cost for new containers ordered in 2011.
- Marketing/Outreach & Printing: Includes direct costs to develop and print program collateral; direct mail, national and state advertising, sponsorships, marketing consultants, some web consulting, and other outreach activities. Marketing/Outreach does not include any TRC labor costs.

Recommendations/Next Steps

California's thermostat law went into effect in July 2009. And while results are encouraging, challenges remain. Some challenges include:

- Maintaining records of collection points: TRC has provided bins to HVAC wholesale locations since 2002. Many locations have ordered additional bins over time. As many of these bins have never been returned, the result was a location data base that included many old records, as locations have moved, gone out of business, and/or been acquired. In 2012 TRC made significant efforts to clean its database, consolidate bin records, and improve data quality. This work is ongoing and continuing investments in TRC's database is also facilitating this efforts. However, the lack of response to inquiries from TRC by many collection points hampered this effort.
- TRC again increased the scope and reach of its promotional campaign in 2012. TRC's expanded advertising in *Indoor Comfort News* and direct mail reached a combined contractor/technician audience of over **79,000** in California alone. *It is still too early to fully assess the impact of this expanded outreach on the program in California.* Returns from the fall of 2012 and early results from 2013 suggest collections are increasing again, compared to the decline the program saw during the late spring and summer of 2012.
- TRC again tested advertising targeting the general consumer audience. TRC evaluated program results and the results reinforced our belief that consumer disinterest in the issue limits the viability of more expansive consumer facing advertising. Far greater value is achieved by targeting the trade channel and using targeted campaigns using Google AdWords and search engine optimization.
- Wholesaler participation continues to improve and our strategy of direct engagement with senior management of distributors is yielding positive results. TRC has targeted certain distributors and will continue efforts to engage.

- HHW participation remains low despite increases in the amount of mercury recovered reported to the state. Anecdotal evidence suggests that many HHW's are unwilling to sort mercury thermostats from other mercury wastes. As TRC cannot accept other wastes in its containers and maintain compliance with D.O.T. transport regulations this remains a barrier to the program. TRC brings this to DTSC's attention as the proposed regulations make no effort to include data from 303 reports. TRC has provided recycling containers to many local governments (at no cost) that are not being returned and it is important to recognize that TRC only reports what it recovers.
- Consolidation/loss of collection points may have an impact on the program. The most pronounced was the closing of Burke Engineering which had sent TRC over 500 units in 2011. Burke Engineering closed most facilities in late 2011, and liquidated its assets in January 2012. TRC's containers were not returned.

In an effort to sustain and increase collections, TRC plans on the following modifications to the California program:

- Expanding the scope and frequency of paid advertising targeting the trade channel in California. TRC is placing ads in *HVAC Insider* for the first time and increased the frequency of its advertising in *Indoor Comfort News* and other HVAC trade publications. TRC has shifted its strategy to one of more frequency to saturate the market over the course of year.
- In lieu of general consumer ads, TRC is running a Google AdWord campaign targeting contractors and homeowners searching for information relative to thermostat replacement. This campaign will focus on states with disposal bans, including California. The campaign started in March 2013 and will continue intermittently throughout the year. TRC is evaluating the program on an ongoing basis to adjust scope of the buy and key search terms.
- TRC added staff at the start of 2013 by hiring a full-time marketing and communications person. This allows the program to expand both the scope of its marketing activities and also shift current staff to focus on program operations, customer support including more active and constant contact with TRC's collection location partners.
- At the time of the report TRC is completing a series of training videos for both collection points (wholesalers) and contractors. These short videos (less than 3 minutes) will be posted on TRC's website, YouTube, and other social media. It will be provided to HVAC technical training programs, including programs sponsored by California utilities. TRC also plans on distributing the videos on thumb drives at industry events and trade shows.
- TRC plans on piloting (pending voluntary participation of collection points) a campaign that encourages program participation through the use of scratch cards. Scratch cards would be distributed at participating collection locations to contractors/technicians for the return of thermostats. Prizes would range from a ~\$5.00 drink/food gift card to higher value prizes such as travel or gift card(s) to major electronics retailers. The program would be designed as such that the odds of winning a prize were quite high, similar to campaigns run by fast food retailers such as McDonalds.
- TRC will continue its direct mail campaign in California in 2013. TRC will source the list from a different provider and also increase the size of the mailing. TRC also plans

on a more targeted campaign. Four mailings are planned roughly 3 weeks apart; again the strategy is frequency and market saturation.

- TRC will continue to send “reminder” postcards to collection locations in 2012. Investments in TRC’s database will enable the program to better target certain locations and encourage participation.

Appendix A: Bin Clean Up Memo



MEMO

To: Branch Manager

From: Thermostat Recycling Corporation

Date: May 1, 2012

Subject: Mercury Thermostat Collection Site Verification

TRC is updating its database of collection sites in an effort to provide current information to HVAC contractors seeking waste mercury thermostat drop-off points.

TRC currently has this location listed as a collection site. Please verify this location's participation by completing the attached form and returning it to TRC.

Forms completed in full and returned by COB May 18, 2012 will be entered into a prize drawing for a \$100.00 Visa gift card.

If there are questions about TRC's program, please call 888-266-0550 or email TRC at trc@thermostat-recycle.org.

P.S. If you haven't shipped in your bin(s) lately, please return it using the pre-paid FedEx label included in the bin. Remember, federal regulations require shipping your bin to TRC once a year.

APPENDIX B: 2012 THERMOSTAT RECOVERY TOTALS BY LOCATION (note—this report rolls all bin receipts into aggregate collections by each location with some exceptions)

| Business Type | Company Name | City | State | Zip | Number Thermostats | Number Thermostat Switches | Number Loose Switches | Total Switches | Total lbs Mercury |
|---------------|--|----------------|-------|-------|--------------------|----------------------------|-----------------------|----------------|-------------------|
| Contractor | A-1 GUARANTEED | VALLEJO | CA | 94589 | 66 | 187 | 0 | 187 | 1.1594 |
| Contractor | BILL HOWE HEATING & AIR | SAN DIEGO | CA | 92110 | 42 | 55 | 0 | 55 | 0.341 |
| Contractor | BUCKLEY PARNELL HEAT & AIR | CITRUS HEIGHTS | CA | 95621 | 10 | 23 | 6 | 29 | 0.1798 |
| Contractor | CHIMNEY KRAFT | CRESCENT CITY | CA | 95531 | 3 | 3 | 0 | 3 | 0.0186 |
| Contractor | Fix Air | San Jose | CA | 95112 | 18 | 45 | 0 | 45 | 0.279 |
| Contractor | GOODCENTS | MANTECA | CA | 95336 | 53 | 89 | 0 | 89 | 0.5518 |
| Contractor | MAKI HEATING & AIR CONDITIONING, INC. | AUBURN | CA | 95603 | 7 | 11 | 0 | 11 | 0.0682 |
| Contractor | MATRIX HG, INC. | CONCORDIA | CA | 94518 | 82 | 201 | 101 | 302 | 1.8724 |
| Contractor | MCCLELLAND Air CONDITIONING | CHICO | CA | 95973 | 48 | 85 | 0 | 85 | 0.527 |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | HAYWARD | CA | 94545 | 51 | 68 | 408 | 476 | 2.9512 |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | OAKLAND | CA | 94602 | 47 | 62 | 2 | 64 | 0.3968 |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | OAKLAND | CA | 94602 | 34 | 37 | 0 | 37 | 0.2294 |
| HHW Facility | Chicago Grade Landfill Household Hazardous Waste Drop-Off | Atascadero | CA | 93422 | 31 | 41 | 2 | 43 | 0.2666 |
| HHW Facility | CITY OF FREMONT PHHWCF | FREMONT | CA | 94538 | 39 | 60 | 0 | 60 | 0.372 |
| HHW Facility | CITY OF SACRAMENTO PHHWCF | SACRAMENTO | CA | 95826 | 44 | 72 | 0 | 72 | 0.4464 |
| HHW Facility | CITY OF SAN DIEGO, HOUSEHOLD HAZARDOUS WASTE TRANSFER FACILITY | SAN DIEGO | CA | 92111 | 37 | 67 | 3 | 70 | 0.434 |
| HHW Facility | CITY OF SAN DIEGO, MIRAMAR HHWCF | SAN DIEGO | CA | 92111 | 48 | 79 | 0 | 79 | 0.4898 |
| HHW Facility | Clean Harbor Environmental-Household Toxics Facility | Petaluma | CA | 94952 | 41 | 63 | 0 | 63 | 0.3906 |
| HHW Facility | COUNTY OF SANTA CLARA | SAN JOSE | CA | 95112 | 74 | 112 | 0 | 112 | 0.6944 |
| HHW Facility | COUNTY OF TUOLUMNE SOLID WASTE DIVISION | SONORA | CA | 95370 | 5 | 14 | 0 | 14 | 0.0868 |
| HHW Facility | KERN COUNTY SPECIAL WASTE FACILITY | RIDGECREST | CA | 93555 | 37 | 52 | 0 | 52 | 0.3224 |
| HHW | ORO LOMA SANITARY DISTRICT | SAN LORENZO | CA | 94580 | 1 | 1 | 0 | 1 | 0.0062 |

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| Facility | | | | | | | | | |
|--------------|--|------------------|----|-------|-----|-----|-----|-----|--------|
| HHW Facility | SAN BERNADINO COUNTY HHW | SAN BERNADINO | CA | 92408 | 46 | 63 | 1 | 64 | 0.3968 |
| HHW Facility | SAN MATEO COUNTY HHW FACILITY | SAN MATEO | CA | 94402 | 8 | 9 | 0 | 9 | 0.0558 |
| HHW Facility | YOLO COUNTY CENTRAL LANDFILL | WOODLAND | CA | 95776 | 39 | 47 | 0 | 47 | 0.2914 |
| HHW Facility | YUBA-SUTTER HHW FACILITY C/O YUBA-SUTTER DISPOSAL, INC | MARYSVILLE | CA | 95901 | 52 | 92 | 0 | 92 | 0.5704 |
| Retailers | BERKELEY ACE HARDWARE | BERKELEY | CA | 94704 | 23 | 31 | 3 | 34 | 0.2108 |
| Wholesalers | Air Cold Supply | San Jose | CA | 95112 | 37 | 118 | 0 | 118 | 0.7316 |
| Wholesalers | AIR COLD SUPPLY | San Gabriel | CA | 91776 | 411 | 678 | 0 | 678 | 4.2036 |
| Wholesalers | Air Cold Supply | Santa Clarita | CA | 91350 | 42 | 62 | 0 | 62 | 0.3844 |
| Wholesalers | Air Cold Supply | Culver City | CA | 90230 | 82 | 116 | 1 | 117 | 0.7254 |
| Wholesalers | Air Cold Supply | Victorville | CA | 92392 | 46 | 62 | 79 | 141 | 0.8742 |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | EL CAJON | CA | 92020 | 58 | 73 | 0 | 73 | 0.4526 |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | SAN GABRIEL | CA | 91776 | 76 | 141 | 0 | 141 | 0.8742 |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | NEWBURY PARK | CA | 91320 | 90 | 136 | 0 | 136 | 0.8432 |
| Wholesalers | AIRCOLD SUPPLY | SAN JOSE | CA | 95112 | 49 | 86 | 0 | 86 | 0.5332 |
| Wholesalers | Allied Refrigeration | Tustin | CA | 92780 | 50 | 137 | 0 | 137 | 0.8494 |
| Wholesalers | Allied Refrigeration | Pomona | CA | 91767 | 14 | 30 | 0 | 30 | 0.186 |
| Wholesalers | Allied Refrigeration | Van Nuys | CA | 91406 | 58 | 112 | 0 | 112 | 0.6944 |
| Wholesalers | Allied Refrigeration | El Cajon | CA | 92020 | 43 | 114 | 0 | 114 | 0.7068 |
| Wholesalers | Allied Refrigeration | Concord | CA | 94520 | 37 | 79 | 0 | 79 | 0.4898 |
| Wholesalers | Allied Refrigeration | Signal Hill | CA | 90755 | 33 | 77 | 0 | 77 | 0.4774 |
| Wholesalers | Allied Refrigeration | City of Industry | CA | 91746 | 216 | 546 | 1 | 547 | 3.3914 |
| Wholesalers | Allied Refrigeration | Cathedral City | CA | 92234 | 30 | 49 | 316 | 365 | 2.263 |
| Wholesalers | Allied Refrigeration | San Bernardino | CA | 92410 | 111 | 181 | 3 | 184 | 1.1408 |
| Wholesalers | Allied Refrigeration | San Jose | CA | 95112 | 108 | 298 | 0 | 298 | 1.8476 |
| Wholesalers | Allied Refrigeration | Culver City | CA | 90232 | 8 | 24 | 0 | 24 | 0.1488 |
| Wholesalers | American Refrigeration Supplies, Inc. | Corona | CA | 92880 | 38 | 61 | 0 | 61 | 0.3782 |
| Wholesalers | American Refrigeration Supplies, Inc. | Sacramento | CA | 95818 | 17 | 24 | 51 | 75 | 0.465 |
| Wholesalers | American Refrigeration Supplies, Inc. | San Bernardino | CA | 92408 | 1 | 4 | 0 | 4 | 0.0248 |

CA DTSC Thermostat Collection Report For Calendar Year 2012 Activities

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|-------------|---------------------------------------|--------------|----|-------|-----|-----|-----|-----|--------|
| Wholesalers | American Refrigeration Supplies, Inc. | San Diego | CA | 92111 | 36 | 79 | 0 | 79 | 0.4898 |
| Wholesalers | Atwater Supply | Temecula | CA | 92590 | 85 | 147 | 4 | 151 | 0.9362 |
| Wholesalers | Baker Distributing | Irwindale | CA | 91706 | 135 | 249 | 283 | 532 | 3.2984 |
| Wholesalers | Baker Distributing Co. | SANTA ANA | CA | 92707 | 41 | 63 | 0 | 63 | 0.3906 |
| Wholesalers | Baker Distributing Company | Upland | CA | 91786 | 25 | 54 | 2 | 56 | 0.3472 |
| Wholesalers | Baker Distributing Company | Pacoima | CA | 91331 | 116 | 169 | 8 | 177 | 1.0974 |
| Wholesalers | Baker Distributing Company | Chatsworth | CA | 91311 | 62 | 101 | 0 | 101 | 0.6262 |
| Wholesalers | BURKE ENGINEERING COMPANY | EL MONTE | CA | 91733 | 118 | 173 | 0 | 173 | 1.0726 |
| Wholesalers | CFM Equipment Distributors, Inc. | Sacramento | CA | 95838 | 2 | 3 | 0 | 3 | 0.0186 |
| Wholesalers | Coast Appliance Parts | Los Angeles | CA | 90066 | 26 | 30 | 0 | 30 | 0.186 |
| Wholesalers | Controlco | Fresno | CA | 93721 | 36 | 110 | 0 | 110 | 0.682 |
| Wholesalers | Dan Goetz Wholesale Outlet Inc. | Santa Rosa | CA | 95407 | 34 | 53 | 0 | 53 | 0.3286 |
| Wholesalers | DANCOOL HVAC SUPPLYING | N Hollywood | CA | 91605 | 36 | 41 | 0 | 41 | 0.2542 |
| Wholesalers | FACSCO | Fresno | CA | 93703 | 34 | 70 | 0 | 70 | 0.434 |
| Wholesalers | Ferguson Heating & Cooling | Azusa | CA | 91702 | 20 | 29 | 238 | 267 | 1.6554 |
| Wholesalers | Ferguson Heating & Cooling | Indio | CA | 92201 | 58 | 94 | 0 | 94 | 0.5828 |
| Wholesalers | Geary Pacific Supply | El Cajon | CA | 92020 | 167 | 313 | 0 | 313 | 1.9406 |
| Wholesalers | Geary Pacific Supply | Anaheim | CA | 92805 | 97 | 153 | 61 | 214 | 1.3268 |
| Wholesalers | Geary Pacific Supply | Hayward | CA | 94544 | 131 | 157 | 2 | 159 | 0.9858 |
| Wholesalers | Geary Pacific Supply | Van Nuys | CA | 91406 | 80 | 126 | 0 | 126 | 0.7812 |
| Wholesalers | GOODMAN DIST. # 706 | MONTCLAIR | CA | 91763 | 55 | 80 | 1 | 81 | 0.5022 |
| Wholesalers | GOODMAN DISTRIBUTION INC. # 768 | VAN NUYS | CA | 91406 | 22 | 36 | 0 | 36 | 0.2232 |
| Wholesalers | Goodman Distribution, Inc. | Stockton | CA | 95205 | 2 | 2 | 0 | 2 | 0.0124 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | Palm Springs | CA | 92276 | 20 | 34 | 0 | 34 | 0.2108 |
| Wholesalers | Goodman Distribution, Inc. | Baldwin Park | CA | 91706 | 36 | 62 | 0 | 62 | 0.3844 |
| Wholesalers | Goodman Distribution, Inc. | Los Angeles | CA | 90065 | 1 | 2 | 0 | 2 | 0.0124 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | SAN FERNANDO | CA | 91340 | 129 | 213 | 0 | 213 | 1.3206 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | MODESTO | CA | 95358 | 9 | 13 | 0 | 13 | 0.0806 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | ANAHEIM | CA | 92806 | 201 | 334 | 0 | 334 | 2.0708 |
| Wholesalers | Goodman Distribution, Inc. | Victorville | CA | 92392 | 15 | 20 | 0 | 20 | 0.124 |

CA DTSC Thermostat Collection Report For Calendar Year 2012 Activities

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|-------------|---------------------------------|----------------|----|-------|-----|-----|-----|-----|--------|
| Wholesalers | GOODMAN DISTRIBUTION, INC. | Corona | CA | 92881 | 43 | 80 | 0 | 80 | 0.496 |
| Wholesalers | Goodman Distribution, Inc. | Redlands | CA | 92373 | 14 | 19 | 1 | 20 | 0.124 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | SACRAMENTO | CA | 95811 | 62 | 106 | 4 | 110 | 0.682 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | ROSEVILLE | CA | 95678 | 7 | 17 | 0 | 17 | 0.1054 |
| Wholesalers | Heating & Cooling LLC | San Diego | CA | 92105 | 22 | 43 | 0 | 43 | 0.2666 |
| Wholesalers | Heating & Cooling LLC | Riverside | CA | 92501 | 87 | 139 | 0 | 139 | 0.8618 |
| Wholesalers | Heating & Cooling LLC | Fresno | CA | 93727 | 10 | 12 | 0 | 12 | 0.0744 |
| Wholesalers | Heating & Cooling LLC | SACRAMENTO | CA | 95842 | 39 | 71 | 2 | 73 | 0.4526 |
| Wholesalers | HEATING & COOLING LLC #823 | LAKEWOOD | CA | 90712 | 118 | 176 | 248 | 424 | 2.6288 |
| Wholesalers | HEATING & COOLING LLC #825 | CHATSWORTH | CA | 91311 | 32 | 44 | 140 | 184 | 1.1408 |
| Wholesalers | Heating & Cooling Supply, LLC | Van Nuys | CA | 91405 | 120 | 186 | 0 | 186 | 1.1532 |
| Wholesalers | Heating & Cooling Supply, LLC | Orange | CA | 92868 | 30 | 57 | 0 | 57 | 0.3534 |
| Wholesalers | HOWARD INDUSTRIES | CULVER CITY | CA | 90232 | 269 | 546 | 1 | 547 | 3.3914 |
| Wholesalers | JOHNSTONE SUPPLY | EL CAJON | CA | 92020 | 131 | 238 | 0 | 238 | 1.4756 |
| Wholesalers | Johnstone Supply | San Jose | CA | 95112 | 79 | 187 | 0 | 187 | 1.1594 |
| Wholesalers | Johnstone Supply | Escondido | CA | 92029 | 163 | 305 | 19 | 324 | 2.0088 |
| Wholesalers | Johnstone Supply | Upland | CA | 91786 | 88 | 165 | 0 | 165 | 1.023 |
| Wholesalers | JOHNSTONE SUPPLY # 33 | SUN VALLEY | CA | 91352 | 339 | 566 | 0 | 566 | 3.5092 |
| Wholesalers | JOHNSTONE SUPPLY #098 | SANTA ROSA | CA | 95407 | 25 | 49 | 0 | 49 | 0.3038 |
| Wholesalers | JOHNSTONE SUPPLY #328 | MERCED | CA | 95341 | 57 | 137 | 0 | 137 | 0.8494 |
| Wholesalers | JOHNSTONE SUPPLY #329 | VISALIA | CA | 93292 | 49 | 81 | 1 | 82 | 0.5084 |
| Wholesalers | JOHNSTONE SUPPLY CO | BALDWIN PARK | CA | 91706 | 81 | 130 | 15 | 145 | 0.899 |
| Wholesalers | JOHNSTONE SUPPLY CO | LAGUNA HILLS | CA | 92653 | 148 | 265 | 105 | 370 | 2.294 |
| Wholesalers | JOHNSTONE SUPPLY CO | SAN DIEGO | CA | 92110 | 339 | 546 | 2 | 548 | 3.3976 |
| Wholesalers | JOHNSTONE SUPPLY CO | FRESNO | CA | 93727 | 33 | 75 | 0 | 75 | 0.465 |
| Wholesalers | JOHNSTONE SUPPLY OF LONG BEACH | LONG BEACH | CA | 90806 | 55 | 86 | 0 | 86 | 0.5332 |
| Wholesalers | JOHNSTONE SUPPLY SAN BERNARDINO | SAN BERNARDINO | CA | 92410 | 4 | 10 | 0 | 10 | 0.062 |
| Wholesalers | JOHNSTONE SUPPLY-ANAHEIM | ANAHEIM | CA | 92805 | 211 | 351 | 58 | 409 | 2.5358 |
| Wholesalers | JOHNSTONE-THOUSAND OAKS | THOUSAND OAKS | CA | 91361 | 92 | 150 | 0 | 150 | 0.93 |
| Wholesalers | LENNOX | THOUSAND PALMS | CA | 92276 | 1 | 2 | 0 | 2 | 0.0124 |

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|-------------|------------------------------------|---------------------|----|-------|-----|-----|----|-----|--------|
| Wholesalers | Lennox Industries Inc. | Sacramento | CA | 95835 | 13 | 32 | 0 | 32 | 0.1984 |
| Wholesalers | Lennox Industries Inc. | SAN DIEGO | CA | 92121 | 4 | 7 | 0 | 7 | 0.0434 |
| Wholesalers | Lennox Industries Inc. | LOS ANGELES | CA | 90065 | 4 | 7 | 0 | 7 | 0.0434 |
| Wholesalers | Lennox Industries Inc. | Eastvale | CA | 91752 | 1 | 2 | 0 | 2 | 0.0124 |
| Wholesalers | Lennox Parts Plus | Irvine | CA | 92618 | 16 | 27 | 0 | 27 | 0.1674 |
| Wholesalers | Lennox Parts Plus A501 | Chatsworth | CA | 91311 | 9 | 15 | 0 | 15 | 0.093 |
| Wholesalers | LENNOX PARTS PLUS ONTARIO | ONTARIO | CA | 91761 | 5 | 9 | 0 | 9 | 0.0558 |
| Wholesalers | Mountain Air | Pine Grove | CA | 95665 | 45 | 84 | 0 | 84 | 0.5208 |
| Wholesalers | MSI HVAC | FONTANA | CA | 92337 | 171 | 239 | 0 | 239 | 1.4818 |
| Wholesalers | MSI HVAC | LAGUNA HILLS | CA | 92653 | 75 | 121 | 0 | 121 | 0.7502 |
| Wholesalers | MSI HVAC 08 | FONTANA | CA | 92337 | 165 | 240 | 0 | 240 | 1.488 |
| Wholesalers | R.E. MICHEL CO. INC. #381 | El Cajon | CA | 92020 | 52 | 111 | 0 | 111 | 0.6882 |
| Wholesalers | R.E. Michel Company, Inc. | Van Nuys | CA | 91405 | 15 | 23 | 0 | 23 | 0.1426 |
| Wholesalers | R.E. Michel Company, Inc. | El Cajon | CA | 92020 | 37 | 65 | 0 | 65 | 0.403 |
| Wholesalers | R.E. Michel Company, Inc. | Van Nuys | CA | 91405 | 39 | 60 | 0 | 60 | 0.372 |
| Wholesalers | RAHAC HTG & COOLING INC. | GLENDALE | CA | 91201 | 29 | 41 | 0 | 41 | 0.2542 |
| Wholesalers | REFRIGERATION SUPPLIES DISTRIBUTOR | TEMECULA | CA | 92590 | 53 | 117 | 0 | 117 | 0.7254 |
| Wholesalers | Refrigeration Supplies Distributor | Burbank | CA | 91502 | 35 | 98 | 0 | 98 | 0.6076 |
| Wholesalers | Refrigeration Supplies Distributor | San Jose | CA | 95112 | 90 | 261 | 1 | 262 | 1.6244 |
| Wholesalers | Refrigeration Supplies Distributor | Modesto | CA | 95351 | 54 | 101 | 0 | 101 | 0.6262 |
| Wholesalers | Refrigeration Supplies Distributor | Riverside | CA | 92501 | 135 | 329 | 30 | 359 | 2.2258 |
| Wholesalers | Refrigeration Supplies Distributor | Concord | CA | 94520 | 79 | 242 | 0 | 242 | 1.5004 |
| Wholesalers | Refrigeration Supplies Distributor | Santa Rosa | CA | 95407 | 59 | 176 | 8 | 184 | 1.1408 |
| Wholesalers | Refrigeration Supplies Distributor | Monterey Park | CA | 91754 | 163 | 504 | 16 | 520 | 3.224 |
| Wholesalers | Refrigeration Supplies Distributor | Redding | CA | 96002 | 45 | 93 | 0 | 93 | 0.5766 |
| Wholesalers | Refrigeration Supplies Distributor | Gardena | CA | 90248 | 71 | 185 | 0 | 185 | 1.147 |
| Wholesalers | RSD | SOUTH SAN FRANCISCO | CA | 94080 | 62 | 153 | 0 | 153 | 0.9486 |
| Wholesalers | RSD | STOCKTON | CA | 95205 | 45 | 111 | 0 | 111 | 0.6882 |
| Wholesalers | RSD | ANAHEIM | CA | 92806 | 188 | 456 | 15 | 471 | 2.9202 |

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|-------------|-----------------|------------------|----|-------|-----|-----|----|-----|--------|
| Wholesalers | RSD | ANAHEIM | CA | 92806 | 130 | 387 | 0 | 387 | 2.3994 |
| Wholesalers | RSD | SAN DIEGO | CA | 92111 | 27 | 89 | 0 | 89 | 0.5518 |
| Wholesalers | RSD | EL CENTRO | CA | 92243 | 66 | 117 | 0 | 117 | 0.7254 |
| Wholesalers | RSD | SAN LEANDRO | CA | 94577 | 142 | 425 | 0 | 425 | 2.635 |
| Wholesalers | RSD | BUENA PARK | CA | 90620 | 105 | 277 | 0 | 277 | 1.7174 |
| Wholesalers | RSD | ROSEVILLE | CA | 95678 | 43 | 109 | 5 | 114 | 0.7068 |
| Wholesalers | RSD | ROSEVILLE | CA | 95678 | 18 | 42 | 0 | 42 | 0.2604 |
| Wholesalers | RSD | POMONA | CA | 91766 | 166 | 339 | 3 | 342 | 2.1204 |
| Wholesalers | RSD | POMONA | CA | 91768 | 187 | 361 | 60 | 421 | 2.6102 |
| Wholesalers | RSD | GARDENA | CA | 90248 | 89 | 320 | 0 | 320 | 1.984 |
| Wholesalers | RSD | LIVERMORE | CA | 94551 | 93 | 206 | 0 | 206 | 1.2772 |
| Wholesalers | RSD | VAN NUYS | CA | 91405 | 170 | 359 | 0 | 359 | 2.2258 |
| Wholesalers | RSD | ONTARIO | CA | 91761 | 85 | 251 | 23 | 274 | 1.6988 |
| Wholesalers | RSD | FRESNO | CA | 93703 | 114 | 246 | 0 | 246 | 1.5252 |
| Wholesalers | RSD | SACRAMENTO | CA | 95815 | 46 | 140 | 0 | 140 | 0.868 |
| Wholesalers | RSD | San Jose | CA | 95112 | 54 | 149 | 0 | 149 | 0.9238 |
| Wholesalers | RSD | BURBANK | CA | 91502 | 45 | 109 | 97 | 206 | 1.2772 |
| Wholesalers | RSD SANTA ANA | SANTA ANA | CA | 92705 | 132 | 402 | 2 | 404 | 2.5048 |
| Wholesalers | Sigler | City of Industry | CA | 91744 | 15 | 29 | 0 | 29 | 0.1798 |
| Wholesalers | SIGLER | SAN JOSE | CA | 95112 | 87 | 189 | 0 | 189 | 1.1718 |
| Wholesalers | SIGLER | BREA | CA | 92821 | 26 | 39 | 0 | 39 | 0.2418 |
| Wholesalers | SIGLER | BREA | CA | 92821 | 53 | 89 | 0 | 89 | 0.5518 |
| Wholesalers | SIGLER | ESCONDIDO | CA | 92029 | 13 | 30 | 0 | 30 | 0.186 |
| Wholesalers | SIGLER INC | CHATSWORTH | CA | 91311 | 64 | 102 | 21 | 123 | 0.7626 |
| Wholesalers | SIGLER-ONTARIO | ONTARIO | CA | 91761 | 31 | 57 | 0 | 57 | 0.3534 |
| Wholesalers | SIGLERS | CHATSWORTH | CA | 91311 | 24 | 46 | 4 | 50 | 0.31 |
| Wholesalers | SLAKEY BROTHERS | SANTA CRUZ | CA | 95060 | 80 | 86 | 3 | 89 | 0.5518 |
| Wholesalers | Slakey Brothers | Fairfield | CA | 94533 | 64 | 70 | 0 | 70 | 0.434 |
| Wholesalers | SLAKEY BROTHERS | GRASS VALLEY | CA | 95945 | 42 | 74 | 0 | 74 | 0.4588 |
| Wholesalers | SLAKEY BROTHERS | SANTA ROSA | CA | 95407 | 87 | 184 | 0 | 184 | 1.1408 |

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|-------------|----------------------------------|------------------|----|-------|-----|-----|----|-----|--------|
| Wholesalers | Slakey Brothers | North Highlands | CA | 95660 | 74 | 125 | 0 | 125 | 0.775 |
| Wholesalers | SLAKEY BROTHERS | FRESNO | CA | 93755 | 30 | 55 | 0 | 55 | 0.341 |
| Wholesalers | SLAKEY BROTHERS | YUBA CITY | CA | 95992 | 23 | 36 | 0 | 36 | 0.2232 |
| Wholesalers | SLAKEY BROTHERS | SAN JOSE | CA | 95126 | 76 | 153 | 29 | 182 | 1.1284 |
| Wholesalers | SLAKEY BROTHERS | SAN JOSE | CA | 95126 | 80 | 176 | 8 | 184 | 1.1408 |
| Wholesalers | Slakey Brothers | Chico | CA | 95927 | 52 | 89 | 92 | 181 | 1.1222 |
| Wholesalers | SLAKEY BROTHERS/FAIRFIELD | FAIRFIELD | CA | 94533 | 22 | 28 | 0 | 28 | 0.1736 |
| Wholesalers | SLAKEY BROTHERS/GRASS VALLEY | GRASS VALLEY | CA | 94945 | 33 | 57 | 0 | 57 | 0.3534 |
| Wholesalers | SLAKEY BROTHERS/MODESTO | MODESTO | CA | 95352 | 10 | 16 | 0 | 16 | 0.0992 |
| Wholesalers | SLAKEY BROTHERS/REDDING | REDDING | CA | 96049 | 50 | 76 | 0 | 76 | 0.4712 |
| Wholesalers | SLAKEY BROTHERS/REDWOOD CITY | REDWOOD CITY | CA | 94063 | 23 | 44 | 0 | 44 | 0.2728 |
| Wholesalers | SLAKEY BROTHERS/S. SAN FRANCISCO | S. SAN FRANCISCO | CA | 94080 | 20 | 22 | 0 | 22 | 0.1364 |
| Wholesalers | SLAKEY BROTHERS/SANTA CRUZ | SANTA CRUZ | CA | 95060 | 49 | 62 | 0 | 62 | 0.3844 |
| Wholesalers | SLAKEY BROTHERS/SANTA ROSA | SANTA ROSA | CA | 95407 | 88 | 125 | 0 | 125 | 0.775 |
| Wholesalers | SLAKEY BROTHERS/YUBA CITY | YUBA CITY | CA | 95991 | 38 | 55 | 0 | 55 | 0.341 |
| Wholesalers | Thrifty Supply | Sacramento | CA | 95826 | 43 | 66 | 0 | 66 | 0.4092 |
| Wholesalers | THRIFTY SUPPLY | SACRAMENTO | CA | 95826 | 30 | 62 | 0 | 62 | 0.3844 |
| Wholesalers | Totaline | Bakersfield | CA | 93308 | 26 | 28 | 1 | 29 | 0.1798 |
| Wholesalers | TOTALINE OF CALIFORNIA | SAN DIEGO | CA | 92111 | 36 | 89 | 28 | 117 | 0.7254 |
| Wholesalers | TOTALINE OF CALIFORNIA | ORANGE | CA | 92865 | 100 | 232 | 0 | 232 | 1.4384 |
| Wholesalers | TOTALINE OF CALIFORNIA | RANCHO DOMINGUEZ | CA | 90220 | 20 | 66 | 0 | 66 | 0.4092 |
| Wholesalers | TOTALINE OF CALIFORNIA | OXNARD | CA | 93030 | 16 | 28 | 0 | 28 | 0.1736 |
| Wholesalers | UNITED REFRIGERATION | SANTA BARBARA | CA | 93103 | 70 | 132 | 0 | 132 | 0.8184 |
| Wholesalers | UNITED REFRIGERATION | OXNARD | CA | 93036 | 4 | 5 | 0 | 5 | 0.031 |
| Wholesalers | UNITED REFRIGERATION | IRWINDALE | CA | 91706 | 52 | 84 | 0 | 84 | 0.5208 |
| Wholesalers | UNITED REFRIGERATION | SAN JOSE | CA | 95112 | 71 | 175 | 0 | 175 | 1.085 |
| Wholesalers | UNITED REFRIGERATION | SAN CARLOS | CA | 94070 | 46 | 126 | 0 | 126 | 0.7812 |
| Wholesalers | UNITED REFRIGERATION # C4 | North Hills | CA | 91343 | 84 | 134 | 0 | 134 | 0.8308 |
| Wholesalers | UNITED REFRIGERATION # D5 | Los Angeles | CA | 90065 | 88 | 166 | 0 | 166 | 1.0292 |

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|-------------|--|------------------|----|-------|-----|------|-----|------|---------|
| Wholesalers | United Refrigeration, Inc. | Visalia | CA | 93292 | 86 | 226 | 0 | 226 | 1.4012 |
| Wholesalers | United Refrigeration, Inc. | Palm Desert | CA | 92211 | 62 | 112 | 0 | 112 | 0.6944 |
| Wholesalers | United Refrigeration, Inc. | San Diego | CA | 92123 | 26 | 69 | 35 | 104 | 0.6448 |
| Wholesalers | United Refrigeration, Inc. | Buena Park | CA | 90620 | 43 | 105 | 0 | 105 | 0.651 |
| Wholesalers | United Refrigeration, Inc. | Santa Maria | CA | 93455 | 40 | 70 | 12 | 82 | 0.5084 |
| Wholesalers | United Refrigeration, Inc. | Carson | CA | 90746 | 53 | 135 | 9 | 144 | 0.8928 |
| Wholesalers | US Air Conditioning Distributors | Cathedral City | CA | 92234 | 167 | 235 | 0 | 235 | 1.457 |
| Wholesalers | US Air Conditioning Distributors | City of Industry | CA | 91748 | 867 | 1688 | 770 | 2458 | 15.2396 |
| Wholesalers | US Air Conditioning Distributors | San Leandro | CA | 94577 | 236 | 310 | 2 | 312 | 1.9344 |
| Wholesalers | US AIR CONDITIONING DISTRIBUTORS | RIVERSIDE | CA | 92507 | 248 | 419 | 3 | 422 | 2.6164 |
| Wholesalers | US Air Conditioning Distributors | Signal Hill | CA | 90755 | 209 | 408 | 55 | 463 | 2.8706 |
| Wholesalers | US AIR CONDITIONING DISTRIBUTORS | NORTH HIGHLANDS | CA | 95660 | 60 | 89 | 0 | 89 | 0.5518 |
| Wholesalers | US Air Conditioning Distributors | San Diego | CA | 92111 | 242 | 622 | 32 | 654 | 4.0548 |
| Wholesalers | US Air Conditioning Distributors | Temecula | CA | 92590 | 158 | 403 | 0 | 403 | 2.4986 |
| Wholesalers | US Air Conditioning Distributors | Stockton | CA | 95205 | 100 | 163 | 0 | 163 | 1.0106 |
| Wholesalers | US AIR CONDITIONING DISTRIBUTORS | CHATSWORTH | CA | 91311 | 217 | 394 | 41 | 435 | 2.697 |
| Wholesalers | US Air Conditioning Distributors | Escondido | CA | 92029 | 80 | 152 | 6 | 158 | 0.9796 |
| Wholesalers | US Air Conditioning Distributors, Inc. | Redding | CA | 96003 | 76 | 137 | 0 | 137 | 0.8494 |
| Wholesalers | US Air Conditioning Distributors, Inc. | Bakersfield | CA | 93305 | 16 | 17 | 0 | 17 | 0.1054 |
| Wholesalers | US Air Conditioning Distributors, Inc. | El Cajon | CA | 92020 | 58 | 139 | 0 | 139 | 0.8618 |
| Wholesalers | US Air Conditioning Distributors, Inc. | Anaheim | CA | 92805 | 115 | 271 | 0 | 271 | 1.6802 |
| Wholesalers | USACD | PASO ROBLES | CA | 93446 | 37 | 47 | 0 | 47 | 0.2914 |
| Wholesalers | USACD | CITY OF INDUSTRY | CA | 91748 | 366 | 642 | 266 | 908 | 5.6296 |
| Wholesalers | USACD | HESPERIA | CA | 92345 | 82 | 137 | 4 | 141 | 0.8742 |
| Wholesalers | Wholesale Solutions | Napa | CA | 94559 | 26 | 65 | 0 | 65 | 0.403 |

APPENDIX C: California locations have requested or been provided recycling containers and last date of shipment

| Business Type | Company Name | Street 1 | City | Zip | Number Bins at End of Report Year | Date Last Bin Received |
|---------------|--|-------------------------------|------------------|-------|-----------------------------------|------------------------|
| Contractor | MAKI HEATING & AIR CONDITIONING, INC. | 105 GUM LANE | AUBURN | 95603 | 1 | 7/16/2012 |
| Contractor | One Hour Heating & Air Conditioning | 301 Espee Street | BAKERSFIELD | 93301 | 1 | |
| Contractor | Johnson Controls | 1328 34th Street | Bakersfield | 93301 | 1 | |
| Contractor | MCCLELLAND Air CONDITIONING | 801 MARAUDER STREET | CHICO | 95973 | 2 | 4/23/2012 |
| Contractor | BUCKLEY PARNELL HEAT & AIR | 5990 DEVECCHI AVE | CITRUS HEIGHTS | 95621 | 1 | 10/2/2012 |
| Contractor | KARNAIR HVAC SUPPLY | 2279 LA CROSSE AVENUE | COLTON | 92324 | 1 | |
| Contractor | MATRIX HG, INC. | 2355 WHITMAN RD. SUITE A | CONCORDIA | 94518 | 2 | 4/20/2012 |
| Contractor | CHIMNEY KRAFT | 700 NORTHCREST DRIVE | CRESCENT CITY | 95531 | 1 | 11/19/2012 |
| Contractor | Johnson Controls | 5770 Warland Drive | Cypress | 90630 | 1 | |
| Contractor | RICHARD HEATH & ASSOCIATES, INC | 9480 TELSTAR AVENUE SUITE 2 | El Monte | 91731 | 2 | |
| Contractor | Johnson Controls | 103 Woodmere Road | Folsom | 95630 | 1 | |
| Contractor | Johnson Controls | 843 Auburn Court | Fremont | 94538 | 1 | |
| Contractor | AAA AIR & HEATING | 5644 E. WESTOVER | FRESNO | 93727 | 1 | |
| Contractor | PROFESSIONAL ASBESTOS REMOVAL CORPORATION dba PARC ENVIRONMENTAL | 2706 S RAILROAD AVE | FRESNO | 93725 | 2 | |
| Contractor | Johnson Controls | 3451 W. Ashlan Avenue | Fresno | 93722 | 1 | |
| Contractor | GOODCENTS | 1322 DUPONT COURT | MANTECA | 95336 | 6 | 3/27/2012 |
| Contractor | YUBA-SUTTER HHW FACILITY C/O YUBA-SUTTER DISPOSAL, INC | 3001 N LEVEE ROAD | MARYSVILLE | 95901 | 1 | 3/16/2012 |
| Contractor | DIAL ONE -- SERVICE EXPERTS | 4670 PACIFIC STREET, STE. 100 | ROCKLIN | 95677 | 1 | |
| Contractor | Johnson Controls | 1030 Winding Creek Way | Roseville | 95678 | 1 | |
| Contractor | BONNEY PLUMBING, HEATING & AIR | 3906 KRISTI COURT | SACRAMENTO | 95827 | 1 | |
| Contractor | BILL HOWE HEATING & AIR | 1364 MORENA BLVD #A | SAN DIEGO | 92110 | 0 | 7/12/2012 |
| Contractor | Johnson Controls | 9630 Ridgehaven Court | San Diego | 92123 | 1 | |
| Contractor | Fix Air | 890 Service Street | San Jose | 95112 | 1 | 11/21/2012 |
| Contractor | Intercity Energy Systems | 12252 McCann Drive | Santa Fe Springs | 90670 | 2 | |

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| | | | | | | |
|--------------|---|-------------------------------|-----------------|-------|---|------------|
| Contractor | Johnson Controls | 3555 Airway Drive | Santa Rosa | 95403 | 1 | |
| Contractor | A-1 GUARANTEED | 1768 BROADWAY ST. | VALLEJO | 94589 | 1 | 2/7/2012 |
| Contractor | Johnson Controls | 12393 Slauson Avenue | Whittier | 90606 | 1 | |
| HHW Facility | LUCIA MAR UNIFIED SCHOOL DISTRICT | 222 STANLEY AVE | ARROYO GRANDE | 93420 | 1 | |
| HHW Facility | GLEN COUNTY HOUSEHOLD HAZARDOUS WASTE FACILITY | 5700 COUNTY ROAD 33 | ARTOIS | 95913 | 2 | |
| HHW Facility | Chicago Grade Landfill Household Hazardous Waste Drop-Off | HWY 41 | Atascadero | 93422 | 1 | 12/13/2012 |
| HHW Facility | KERN COUNTY SPECIAL WASTE FACILITY | 4951 STANDARD ST. | BAKERSFIELD | 93308 | 1 | |
| HHW Facility | KERN COUNTY WASTE MANAGEMENT | 4951 STANDARD ST. | BAKERSFIELD | 93308 | 2 | |
| HHW Facility | CTY OF TUOLUMNE, CA SIERRA TRANSFER STATION | 11111 SCOFIELD ST | BIG OAK FLAT | 95305 | 1 | |
| HHW Facility | CITY OF CARPINTERIA | 5775 CARPINTERIA AVE. | CARPINTERIA | 93013 | 2 | |
| HHW Facility | CASTRO VALLEY SANITARY DISTRICT | 21040 MARSHALL STREET | CASTRO VALLEY | 94546 | 2 | |
| HHW Facility | BUTTE REGIONAL HHW COLLECTION FACILITY | 1101 MARADER ST. | CHICO | 95973 | 3 | |
| HHW Facility | MADERA COUNTY HOUSEHOLD HAZARDOUS WASTE COLLECTION FACILITY | 21739 ROAD 19 | CHOWCHILLA | 93610 | 2 | |
| HHW Facility | COLUSA COUNTY HHW PROGRAM | 1215 MARKET STREET | COLUSA | 95932 | 2 | |
| HHW Facility | DEL NORTE COUNTY TRANSFER STATION | 1700 STATE STREET | CRESCENT CITY | 95531 | 1 | |
| HHW Facility | CYPRESS CITY HALL | 5275 ORANGE AVENUE | CYPRESS | 90630 | 4 | |
| HHW Facility | EDH FIRE STATION #86 | 3670 BASS LAKE ROAD | EL DORADO HILLS | 95762 | 2 | |
| HHW Facility | HUMBOLDT WASTE MANAGEMENT AUTHORITY | 1059 W. HAWTHORNE ST. | EUREKA | 95501 | 1 | |
| HHW Facility | PERMANENT HHW COLLECTION FACILITY | 50 NATOMA ST. | FOLSOM | 95630 | 2 | |
| HHW Facility | CITY OF FREMONT PHHWCF | 41149 BOYCE ROAD | FREMONT | 94538 | 1 | 3/21/2012 |
| HHW Facility | NEVADA COUNTY H.H.W. FACILITY | 14741 WOLF MTN. RD. | GRASS VALLEY | 95949 | 4 | |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | 2091 W WINTON AVE | HAYWARD | 94545 | 3 | 8/16/2012 |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | 2091 W. WINTON AVE. | HAYWARD | 94545 | 1 | |
| HHW Facility | CITY OF HIGHLAND | 27215 BASELINE STREET | HIGHLAND | 92346 | 1 | |
| HHW Facility | AMADOR COUNTY WASTE MANAGEMENT DEPT. | 810 COURT STREET | JACKSON | 95642 | 5 | |
| HHW Facility | WESTERN PLACER WASTE MANAGEMENT AUTHORITY | NORTECH WASTE 3195 ATHENS AVE | LINCOLN | 95648 | 2 | |
| HHW Facility | CITY OF MADERA PUBLIC WORKS | 1030 S. GATEWAY DRIVE | MADERA | 93637 | 1 | |
| HHW Facility | MADERA COUNTY PERMANENT HOUSEHOLD HAZ. WASTE FAC. | 2037 W. CLEVELAND AVE | MADERA | 93637 | 2 | |

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|--------------|--|---------------------------------|-----------------|-------|---|-----------|
| HHW Facility | COUNTY OF MARIPOSA | 5593 HWY 49 NORTH | MARIPOSA | 95338 | 6 | |
| HHW Facility | MERCED COUNTY HHW | 260 E 15TH ST. | MERCED | 95341 | 1 | |
| HHW Facility | MERCED COUNTY HHW | 6040 N. HIGHWAY 59 | MERCED | 95340 | 1 | |
| HHW Facility | KERN COUNTY SPECIAL WASTE FACILITY | 17035 FINNIN AVE. #2 | MOJAVE | 93501 | 1 | |
| HHW Facility | Morro Bay/Cayucos Waste Water Plant Household Hazardous Waste Drop-Off | 160 Atascadero Road | Morro Bay | 93442 | 1 | |
| HHW Facility | Nipomo Household Hazardous Waste Drop-Off | 509 Southland | Nipomo | 93444 | 1 | |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | 2100 E. 7TH ST. | OAKLAND | 94602 | 1 | 1/9/2012 |
| HHW Facility | ALAMEDA COUNTY HOUSEHOLD HAZ. WASTE PROGRAM | 2100 EAST 7TH ST | OAKLAND | 94602 | 1 | 3/28/2012 |
| HHW Facility | Palo Alto Public Works Dept | 2501 Embarcadero Way | Palo Alto | 94303 | 1 | |
| HHW Facility | REGIONAL WATER QUALITY CONTROL PLANT | 2501 EMBARCADERO WAY | PALO ALTO | 94303 | 1 | |
| HHW Facility | Paso Robles Landfill Household Hazardous Waste Drop-Off | Hwy 46 East | Paso Robles | 93446 | 1 | |
| HHW Facility | CLEAN HARBORS EVS | 500 MECHAM ROAD | PETALUMA | 94952 | 1 | 1/9/2012 |
| HHW Facility | DELTA HHW COLLECTION FACILITY | 2550 PITTSBURG-ANTIOCH HWY | PITTSBURG | 94509 | 1 | |
| HHW Facility | EL DORADO COUNTY ENVIRONMENTAL MGMT. DEPT. | 2850 FOURLANE COURT | PLACERVILLE | 95667 | 1 | |
| HHW Facility | RDC EM BUILDING C | 2850 FAIRLANE COURT, BUILDING C | PLACERVILLE | 95667 | 1 | |
| HHW Facility | COUNTY OF SAN DIEGO HHW COLLECTION FACILITY, RAMONA | 324 MAPLE STREET | RAMONA | 92065 | 1 | |
| HHW Facility | TEHAMA COUNTY/RED BLUFF LANDFILL MANAGEMENT AGENCY | 19995 PLYMIRE ROAD | RED BLUFF | 96080 | 4 | |
| HHW Facility | CITY OF REDDING SOLID WASTE | 2255 ABERNATHY LANE | REDDING | 96003 | 1 | |
| HHW Facility | WEST CONTRA COSTA PERMANENT HHW COLLECTION FACILITY | 101 PITTSBURG AVENUE | RICHMOND | 94801 | 2 | |
| HHW Facility | KERN COUNTY SPECIAL WASTE FACILITY | 3301 BOWMAN ROAD | RIDGECREST | 93555 | 1 | 8/1/2012 |
| HHW Facility | CITY OF SACRAMENTO PHHWCF | 8491 FRUITRIDGE ROAD | SACRAMENTO | 95826 | 1 | 3/1/2012 |
| HHW Facility | SALINAS VALLEY SOLID WASTE HHWCF | 139 SUN STREET | SALINAS | 93901 | 1 | |
| HHW Facility | SAN BERNADINO COUNTY HHW | 2824 EAST W STREET, BLDG 302 | SAN BERNADINO | 92408 | 2 | 9/10/2012 |
| HHW Facility | CITY OF SAN DIEGO, HOUSEHOLD HAZARDOUS WASTE TRANSFER FACILITY | 5161 CONVOY STREET | SAN DIEGO | 92111 | 1 | 1/3/2012 |
| HHW Facility | CITY OF SAN DIEGO, MIRAMAR HHWCF | 5161 CONVOY STREET | SAN DIEGO | 92111 | 1 | 6/27/2012 |
| HHW Facility | COUNTY OF SANTA CLARA | 1555 BERGER DR SUITE 300 | SAN JOSE | 95112 | 2 | 9/5/2012 |
| HHW Facility | ORO LOMA SANITARY DISTRICT | 2600 GRANT AVE | SAN LORENZO | 94580 | 2 | 3/14/2012 |
| HHW Facility | Cold Canyon Landfill Household Hazardous Waste Drop-Off | HWY 227 | SAN LUIS OBISPO | 93401 | 1 | |

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|--------------|---|-----------------------------|------------------|-------|---|------------|
| HHW Facility | SAN LUIS OBISPO COUNTY INTEGRATED WASTE MANAGEMENT AUTHORITY | 870 OSOS STREET | SAN LUIS OBISPO | 93401 | 2 | |
| HHW Facility | SAN LUIS OBISPOS COUNTY INTEGRATED WASTE MANAGEMENT AUHTORITY | 870 OSOS STREET | SAN LUIS OBISPO | 93401 | 7 | |
| HHW Facility | SAN MATEO COUNTY HHW FACILITY | 32 TOWER ROAD | SAN MATEO | 94402 | 1 | 3/27/2012 |
| HHW Facility | MARIN COUNTY HOUSEHOLD HAZARDOUS WASTE FACILITY | 565 JACOBY STREET | SAN RAFAEL | 94901 | 2 | |
| HHW Facility | CITY OF SANTA MARIA HHW FACILITY | 2065 E. MAIN STREET | SANTA MARIA | 93454 | 2 | |
| HHW Facility | COUNTY OF TUOLUMNE SOLID WASTE DIVISION | 2 SOUTH GREEN ST. | SONORA | 95370 | 3 | 7/13/2012 |
| HHW Facility | SOUTH TAHOE REFUSE TRANSFER STATION | 2140 Ruth AVE. | SOUTH LAKE TAHOE | 96150 | 2 | |
| HHW Facility | SAN JOAQUIN COUNTY HOUSEHOLD HAZARDOUS WASTE FACILITY | 7850 R.A. BRIDGEFORD STREET | STOCKTON | 95206 | 3 | |
| HHW Facility | BAY COUNTIES WASTE SERVICES | 301 CARL ROAD | SUNNYVALE | 94089 | 2 | |
| HHW Facility | MENDOCINO SOLID WASTE MANAGEMENT AUTHORITY | 298A PLANT ROAD | UKIAH | 95482 | 1 | |
| HHW Facility | COUNTY OF VENTURA-INTEGRATED WASTE MANAGEMENT DIVISION | 800 SOUTH VICTORIA AVE | VENTURA | 93009 | 2 | |
| HHW Facility | VENTURA HOUSEHOLD HAZARDOUS WASTE FACILITY | 336 SAN JON ROAD | VENTURA | 93002 | 2 | |
| HHW Facility | BUENA VISTA HHW FACILITY | 150 ROUNDTREE LANE | WATSONVILLE | 95076 | 3 | |
| HHW Facility | YOLO COUNTY CENTRAL LANDFILL | 44090 COUNTY RD 28 H | WOODLAND | 95776 | 1 | 6/25/2012 |
| HHW Facility | YOLO COUNTY CENTRAL LANDFILL | 44090 COUNTY ROAD 28H | WOODLAND | 95776 | 2 | |
| Retailers | ANTIOCH ACE HARDWARE | 501 SUNSET DRIVE | ANTIOCH | 94509 | 1 | |
| Retailers | Brisco's True Value Hardware | 1005 El Camino Real | Arroyo Grande | 93420 | 1 | |
| Retailers | KMart | 1570 W. Branch St. | Arroyo Grande | 93420 | 1 | |
| Retailers | Miner's Ace Hardware (AG) | 186 Station Way | Arroyo Grande | 93420 | 1 | |
| Retailers | Decou Lumber & Ace Hardware (AT) | 8965 El Camino Real | Atascadero | 93422 | 1 | |
| Retailers | Home Depot | 905 El Camino Real | Atascadero | 93422 | 1 | |
| Retailers | KMart | 3980 El Camino Real | Atascadero | 93422 | 1 | |
| Retailers | Miner's Ace Hardware (AT) | 9370 El Camino Real | Atascadero | 93422 | 1 | |
| Retailers | BERKELEY ACE HARDWARE | 2145 UNIVERSITY AVENUE | BERKELEY | 94704 | 1 | 11/21/2012 |
| Retailers | BRENTWOOD ACE HARDWARE | 8900 BRENTWOOD BLVD, STE J | BRENTWOOD | 94513 | 1 | |
| Retailers | Cambria Hardware | 2345 Village Ln. | Cambria | 93428 | 1 | |
| Retailers | Miner's Ace Hardware (GB) | 1056 Grand Ave. | Grover Beach | 93433 | 1 | |
| Retailers | Miner's Ace Hardware (LO) | 1080 Los Osos Valley Rd. | Los Osos | 93402 | 1 | |

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|-------------|--|-------------------------|-----------------|-------|---|------------|
| Retailers | BILL'S ACE HARDWARE | 3503 PACHECO BLVD. | MARTINEZ | 94553 | 2 | |
| Retailers | Miner's Ace Hardware (MB) | 510 Atascadero Rd. | Morro Bay | 93442 | 1 | |
| Retailers | Miners (N) | 553 W. Tefft | Nipomo | 93444 | 1 | |
| Retailers | LAUREL ACE HARDWARE | 4024 MACARTHUR BLVD | OAKLAND | 94619 | 1 | |
| Retailers | OAKLEY ACE HARDWARE | 305 4TH STREET | OAKLEY | 94561 | 1 | |
| Retailers | Blake's True Value Home Center | 1701 Riverside Ave. | Paso Robles | 93446 | 1 | |
| Retailers | Lowes | 2445 Golden Hills Rd. | Paso Robles | 93446 | 1 | |
| Retailers | Orchard Supply Hardware | 2005 Theatre Drive | Paso Robles | 93446 | 1 | |
| Retailers | Orchard Supply Hardware | 825 Oak Park Blvd | Pismo Beach | 93449 | 1 | |
| Retailers | Pismo Beach True Value Hardware | 930 Price St. | Pismo Beach | 93449 | 1 | |
| Retailers | PITTSBURG ACE HARDWARE | 125 E. LELAND ROAD | PITTSBURG | 94565 | 1 | |
| Retailers | Costco | 1540 Froom Ranch Rd. | San Luis Obispo | 93405 | 1 | |
| Retailers | Home Depot | 1551 Froom Ranch Wy | San Luis Obispo | 93405 | 1 | |
| Retailers | Miners (SLO) formerly Pac. Home & Garden | 2034 Santa Barbara | San Luis Obispo | 93401 | 1 | |
| Retailers | Hewitt Hardware | 428 Main St. | Templeton | 93465 | 1 | |
| Wholesalers | Air Cold Supply | 1346 S. Claudina Street | Anaheim | 92805 | 1 | |
| Wholesalers | American Refrigeration Supplies, Inc. | 1086 Kraemer Place | Anaheim | 92806 | 1 | |
| Wholesalers | Atwater Supply | 518 E. Ball Road | Anaheim | 92805 | 1 | |
| Wholesalers | Ferguson Heating & Cooling | 605 E. Cerritos Avenue | Anaheim | 92805 | 1 | |
| Wholesalers | Geary Pacific Supply | 1200 E. Cerritos Avenue | Anaheim | 92805 | 1 | 10/25/2012 |
| Wholesalers | George T. Hall Company | 1605 Gene Autry Way | Anaheim | 92805 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 1225 N. KRAEMER BLVD | ANAHEIM | 92806 | 1 | 11/26/2012 |
| Wholesalers | JOHNSTONE SUPPLY-ANAHEIM | 518 E BALL ROAD | ANAHEIM | 92805 | 1 | 10/30/2012 |
| Wholesalers | RSD | 2890 E CORONADO ST | ANAHEIM | 92806 | 2 | 7/23/2012 |
| Wholesalers | RSD | 2890 E. CORONADO ST. | ANAHEIM | 92806 | 0 | 4/5/2012 |
| Wholesalers | US Air Conditioning Distributors, Inc. | 1304 S. Claudina Street | Anaheim | 92805 | 1 | 8/8/2012 |
| Wholesalers | Air Cold Supply | 640 Avon Avenue | Azusa | 91702 | 1 | |
| Wholesalers | Ferguson Heating & Cooling | 640 Ayon Avenue | Azusa | 91702 | 1 | 6/13/2012 |
| Wholesalers | Baker Distributing Company | 149 UNION AVENUE | Bakersfield | 93307 | 1 | |
| Wholesalers | Coast Appliance Parts | 1404 29th Street | Bakersfield | 93301 | 1 | |

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|-------------|--|----------------------------|----------------|-------|---|------------|
| Wholesalers | Controlco | 320 Kentucky Street | Bakersfield | 93305 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 2823 Gibson Street | Bakersfield | 93308 | 1 | |
| Wholesalers | Heating & Cooling LLC | 3324 Landco Drive | Bakersfield | 93308 | 1 | |
| Wholesalers | Johnstone Supply | 300 Goldenstate Avenue | Bakersfield | 93301 | 2 | |
| Wholesalers | RSD | 621 EAST 21ST STREET | BAKERSFIELD | 93305 | 2 | |
| Wholesalers | SLAKEY BROTHERS | 2201 EAST BRUNDAGE LANE | BAKERSFIELD | 93307 | 1 | |
| Wholesalers | SLAKEY BROTHERS/BAKERSFIELD | 2201 EAST BRUNDAGE LANE | BAKERSFIELD | 93307 | 1 | |
| Wholesalers | Totaline | 4517 Standard Street | Bakersfield | 93308 | 2 | 7/25/2012 |
| Wholesalers | UNITED REFRIGERATION | 230 EAST 21ST STREET | BAKERSFIELD | 93305 | 1 | |
| Wholesalers | US Air Conditioning Distributors, Inc. | 720 Williams Street | Bakersfield | 93305 | 1 | 8/21/2012 |
| Wholesalers | Goodman Distribution, Inc. | 315 Cloverleaf Drive | Baldwin Park | 91706 | 1 | 3/20/2012 |
| Wholesalers | JOHNSTONE SUPPLY CO | 13211 SPRING STREET | BALDWIN PARK | 91706 | 2 | 1/25/2012 |
| Wholesalers | RSD-TOTAL CONTROL | 221 PANORAMA DRIVE | BENICIA | 94510 | 2 | |
| Wholesalers | Specialty A/C Products | 5250 E. 2nd Street | Benicia | 94510 | 3 | |
| Wholesalers | WESTERN NEVADA SUPPLY | 515 SOUTH MAIN STREET | BISHOP | 93514 | 1 | |
| Wholesalers | RUSSELL SIGLER - BREA | 205 SOUTH PUENTE STREET | Brea | 92821 | 2 | |
| Wholesalers | SIGLER | 205 S. PUENTE | BREA | 92821 | 0 | 3/5/2012 |
| Wholesalers | SIGLER | 205 S. PUENTE ST | BREA | 92821 | 1 | 12/4/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 99 SOUTHHILL DRIVE SUITE B | BRISBANE | 94005 | 2 | |
| Wholesalers | AMERICAN REFRIGERATION SUPPLIES INC. | 6110 VALLEY VIEW AVE. | BUENA PARK | 90620 | 1 | |
| Wholesalers | RSD | 6391 ORANGETHORPE AVENUE | BUENA PARK | 90620 | 1 | 11/8/2012 |
| Wholesalers | United Refrigeration, Inc. | 6150 Valley View Street | Buena Park | 90620 | 1 | 6/12/2012 |
| Wholesalers | Refrigeration Supplies Distributor | 724 S. Flower Street | Burbank | 91502 | 1 | 2/14/2012 |
| Wholesalers | RSD | 724 South Flower Street | BURBANK | 91502 | 0 | 2/14/2012 |
| Wholesalers | United Refrigeration, Inc. | 1134 E. Dominguez Street | Carson | 90746 | 1 | 6/5/2012 |
| Wholesalers | Allied Refrigeration | 34660 Date Palm Drive | Cathedral City | 92234 | 1 | 12/5/2012 |
| Wholesalers | US Air Conditioning Distributors | 68713 Perez Road | Cathedral City | 92234 | 1 | 8/14/2012 |
| Wholesalers | Baker Distributing Company | 9610 Desoto Avenue | Chatsworth | 91311 | 1 | 11/20/2012 |
| Wholesalers | Ferguson Heating & Cooling | 9349 Oso Avenue | Chatsworth | 91311 | 1 | |
| Wholesalers | HEATING & COOLING LLC #825 | 9714 DEERING AVENUE | CHATSWORTH | 91311 | 1 | 4/30/2012 |

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|-------------|---------------------------------------|----------------------------|------------------|-------|---|------------|
| Wholesalers | Lennox Parts Plus A501 | 19801 Nordhoff Place | Chatsworth | 91311 | 1 | 12/27/2012 |
| Wholesalers | RSD | 21727 NORDHOFF STREET | CHATSWORTH | 91311 | 2 | |
| Wholesalers | SIGLER INC | 20680 NORDHOFF ST | CHATSWORTH | 91311 | 1 | 10/26/2012 |
| Wholesalers | SIGLERS | 20680 NORDHOFF ST | CHATSWORTH | 91311 | 1 | 6/19/2012 |
| Wholesalers | US AIR CONDITIONING DISTRIBUTORS | 9250 OWENSMOUTH AVENUE | CHATSWORTH | 91311 | 1 | 12/13/2012 |
| Wholesalers | Baker Distributing Company | 849 W. 8th Street | Chico | 95928 | 2 | |
| Wholesalers | J W WOOD CO., INC/ALL AIR SUPPLY | 224 MEYERS STREET | CHICO | 95928 | 1 | |
| Wholesalers | Slakey Brothers | 2301 Park Avenue | Chico | 95927 | 2 | 7/26/2012 |
| Wholesalers | BURKE ENGINEERING COMPANY | 74488 VILLAGE DR | CHINO | 90708 | 1 | |
| Wholesalers | Lennox Industries Inc. | 12775 RESERVOIR STREET | CHINO | 91710 | 2 | |
| Wholesalers | RSD | 1670 INDUSTRIAL BLVD. | CHULA VISTA | 91911 | 2 | |
| Wholesalers | Allied Refrigeration | 306 S. Ninth Avenue | City of Industry | 91746 | 1 | 11/21/2012 |
| Wholesalers | HEATING & COOLING LLC #822 | 910 CANAL PLACE | CITY OF INDUSTRY | 91746 | 1 | |
| Wholesalers | Sigler | 17745 E. Valley Blvd. | City of Industry | 91744 | 2 | 11/21/2012 |
| Wholesalers | Trane | 17760 Rowland Street | City of Industry | 91748 | 1 | |
| Wholesalers | US Air Conditioning Distributors | 16900 Chestnut Street | City of Industry | 91748 | 2 | 11/12/2012 |
| Wholesalers | USACD | 16900 CHESTNUT STREET | CITY OF INDUSTRY | 91748 | 0 | 7/11/2012 |
| Wholesalers | Coast Appliance Parts | 948 Colton Avenue | Colton | 92324 | 1 | |
| Wholesalers | RUSSELL SIGLER INC. | 19801 RANCHO WAY UNIT A | COMPTON | 90220 | 1 | |
| Wholesalers | Allied Refrigeration | 2170 Commerce Avenue | Concord | 94520 | 1 | 10/31/2012 |
| Wholesalers | CONTROLCO | 5600 IMHOTT DRIVE, SUITE G | CONCORD | 94520 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 4020 Nelson Ave. Suite 100 | Concord | 94520 | 1 | |
| Wholesalers | JOHNSTONE SUPPLY CO | 2331 COMMERCE AVE #E | CONCORD | 94520 | 1 | |
| Wholesalers | Refrigeration Supplies Distributor | 2251 Stanwell Drive | Concord | 94520 | 2 | 11/28/2012 |
| Wholesalers | SIGLER INC | 2301 ARNOLD INDUSTRIAL WAY | CONCORD | 94520 | 2 | |
| Wholesalers | SLAKEY BROTHERS | 2460 BATES AVENUE | CONCORD | 94520 | 1 | |
| Wholesalers | TOTALINE OF CALIFORNIA | 2301 ARNOLD INDUSTRIAL WAY | CONCORD | 94520 | 1 | |
| Wholesalers | American Refrigeration Supplies, Inc. | 1501 Pomona Road | Corona | 92880 | 1 | 10/3/2012 |
| Wholesalers | Baker Distributing Company | 575 Cardiff Street | Corona | 92879 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 1900 Compton Ave Suite 102 | Corona | 92881 | 1 | 12/26/2012 |

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|-------------|---|------------------------------------|-------------|-------|---|------------|
| Wholesalers | INVENSYS CLIMATE CONTROLS, NORTH AMERICA | 151 SOUTH PROMENADE AVENUE | CORONA | 92879 | 4 | |
| Wholesalers | JOHNSTONE SUPPLY | 1661 MARKET ST | CORONA | 92880 | 1 | |
| Wholesalers | Air Cold Supply | 11244 Playa Court | Culver City | 90230 | 1 | 12/3/2012 |
| Wholesalers | Allied Refrigeration | 8460 Higuera Street | Culver City | 90232 | 1 | 7/31/2012 |
| Wholesalers | HOWARD INDUSTRIES | 8855 WASHINGTON BLVD | CULVER CITY | 90232 | 6 | 8/13/2012 |
| Wholesalers | USACD | 8620 HAYDEN PL. | CULVER CITY | 90232 | 1 | |
| Wholesalers | Baker Distributing Company | 6800 Sierra Court | Dublin | 94568 | 1 | |
| Wholesalers | Heating & Cooling Supply, LLC | 6510 Sierra Court | Dublin | 94568 | 1 | |
| Wholesalers | Lennox Industries Inc. | 4000 Hamner Avenue | Eastvale | 91752 | 1 | 11/26/2012 |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | 1224 NORTH MARSHALL BRANCH 1581 | EL CAJON | 92020 | 2 | 3/13/2012 |
| Wholesalers | Allied Refrigeration | 199 S. Marshall Street | El Cajon | 92020 | 1 | 10/30/2012 |
| Wholesalers | Geary Pacific Supply | 1161 W. Bradley Avenue | El Cajon | 92020 | 2 | 6/19/2012 |
| Wholesalers | JOHNSTONE SUPPLY | 1903 FRIENDSHIP DRIVE | EL CAJON | 92020 | 1 | 10/23/2012 |
| Wholesalers | JOHNSTONE SUPPLY CO | 1385 N. MAGNOLIA AVE | EL CAJON | 92020 | 1 | |
| Wholesalers | R.E. MICHEL CO. INC. #381 | 1922 Friendship Drive | El Cajon | 92020 | 0 | 6/20/2012 |
| Wholesalers | R.E. Michel Company, Inc. | 1922 Friendship Drive | El Cajon | 92020 | 2 | 10/2/2012 |
| Wholesalers | SOUTHERN CALIFORNIA AIR CON APPL | 1000 N. JOHNSON AVE. | EL CAJON | 92020 | 1 | |
| Wholesalers | Southern California Air Conditioning Supply Co., Inc. | 1000 N. Johnson Avenue | El Cajon | 92020 | 1 | |
| Wholesalers | US Air Conditioning Distributors, Inc. | 1250 N. Marshall Avenue | El Cajon | 92020 | 1 | 10/31/2012 |
| Wholesalers | CALIFORNIA COOLING | 239 W. Orange Avenue | El Centro | 92243 | 2 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 1150 McCullom Street | El Centro | 92243 | 1 | |
| Wholesalers | RSD | 110 EAST MAIN STREET | EL CENTRO | 92243 | 2 | 3/22/2012 |
| Wholesalers | US Air Conditioning Distributors | 1002 Industry Way | El Centro | 92243 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 9700 FACTORIAL WAY | EL MONTE | 91733 | 8 | 1/20/2012 |
| Wholesalers | SLAKEY BROTHERS/ELK GROVE | 2215 KAUSEN STE 1 | ELD GROVE | 95758 | 1 | |
| Wholesalers | SLAKEY BROTHERS | 2215 KAUSEN STE 1 | ELK GROVE | 95758 | 1 | |
| Wholesalers | HEATING & COOLING LLC #813 | 629 ALPINE WAY | ESCONDIDO | 92029 | 1 | |
| Wholesalers | Allied Refrigeration | 1928 Don Lee Place | Escondido | 92029 | 1 | |
| Wholesalers | CALIFORNIA COOLING | 622 S. VINEWOOD ST. | ESCONDIDO | 92029 | 1 | |

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|-------------|----------------------------------|---------------------------|-----------------|-------|---|------------|
| Wholesalers | Johnstone Supply | 900 S. Andreasen Drive | Escondido | 92029 | 1 | 9/25/2012 |
| Wholesalers | MSI HVAC | 2344 MEYERS AVE | ESCONDIDO | 92029 | 1 | |
| Wholesalers | RUSSELL SIGLER INC. | 2425 AUTO PKWY SUITE 200 | ESCONDIDO | 92029 | 1 | |
| Wholesalers | SIGLER | 2425 AUTO PKWY SUITE 200 | ESCONDIDO | 92029 | 1 | 5/8/2012 |
| Wholesalers | United Refrigeration, Inc. | 2220 Auto Park Way | Escondido | 92029 | 1 | |
| Wholesalers | US Air Conditioning Distributors | 1238-A Simpson Way | Escondido | 92029 | 1 | 10/22/2012 |
| Wholesalers | Slakey Brothers | 1190 Western Street | Fairfield | 94533 | 1 | 7/31/2012 |
| Wholesalers | SLAKEY BROTHERS/FAIRFIELD | 1190 WESTERN STREET | FAIRFIELD | 94533 | 1 | 8/1/2012 |
| Wholesalers | MSI HVAC | 11700 INDUSTRY | FONTANA | 92337 | 1 | 10/30/2012 |
| Wholesalers | MSI HVAC 01 | 11700 INDUSTRY AVE. | FONTANA | 92337 | 1 | |
| Wholesalers | MSI HVAC 08 | 11700 INDUSTRY AVE. | FONTANA | 92337 | 1 | 10/30/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC #764 | 18085 EUCLID STREET | FOUNTAIN VALLEY | 92708 | 1 | |
| Wholesalers | Baker Distributing Company | 5449 E. Lamona Avenue | Fresno | 93703 | 1 | |
| Wholesalers | CFM Equipment Distributors, Inc. | 1744 S. Pearl Street | Fresno | 93721 | 1 | |
| Wholesalers | Coast Appliance Parts | 3260 E. McKinley | Fresno | 93703 | 1 | |
| Wholesalers | Controlco | 202 Van Ness Avenue | Fresno | 93721 | 1 | 7/16/2012 |
| Wholesalers | FACSCO | 1528 N. Thesta Street | Fresno | 93703 | 1 | 10/24/2012 |
| Wholesalers | Geary Pacific Supply | 820 Lyman Avenue | Fresno | 93706 | 3 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 2620 East Byrd Avenue | Fresno | 93706 | 1 | |
| Wholesalers | Heating & Cooling LLC | 5357 E. Home Avenue | Fresno | 93727 | 1 | 9/17/2012 |
| Wholesalers | JOHNSTONE SUPPLY CO | 5658 E. CLINTON AVE. | FRESNO | 93727 | 2 | 2/1/2012 |
| Wholesalers | R.E. Michel Company, Inc. | 431 W. Amador Street | Fresno | 93706 | 1 | |
| Wholesalers | RSD | 2208 EAST MCKINLEY AVENUE | FRESNO | 93703 | 2 | 10/3/2012 |
| Wholesalers | Russell Sigler Inc. | 2345 Los Angeles Street | Fresno | 93721 | 1 | |
| Wholesalers | SLAKEY BROTHERS | 4333 NORTH EFFIE STREET | FRESNO | 93755 | 1 | 12/18/2012 |
| Wholesalers | SLAKEY BROTHERS/FRESNO | 4333 NORTH EFFIE STREET | FRESNO | 93755 | 1 | |
| Wholesalers | TOTALINE OF CALIFORNIA | 2345 LOS ANGELES STREET | FRESNO | 92721 | 2 | |
| Wholesalers | Trane | 5595 N. Golden State Blvd | Fresno | 93722 | 1 | |
| Wholesalers | United Refrigeration | 1848 E. Griffith Way | Fresno | 93726 | 1 | |
| Wholesalers | USACD | 2670 E. BYRD AVE | FRESNO | 93706 | 1 | |

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|-------------|---------------------------------------|--------------------------------|--------------|-------|---|------------|
| Wholesalers | Coast Appliance Parts | 15700 S. Broadway | Gardena | 90248 | 1 | |
| Wholesalers | Refrigeration Supplies Distributor | 702 W. 190th Street | Gardena | 90248 | 3 | 11/5/2012 |
| Wholesalers | RSD | 702 WEST 190TH STREET | GARDENA | 90248 | 0 | 6/20/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 910 Justin Avenue | Glendale | 91201 | 1 | |
| Wholesalers | RAHAC HTG & COOLING INC. | 1326 BLOSSOM STREET | GLENDALE | 91201 | 3 | 12/26/2012 |
| Wholesalers | Trane COMPANY GLENDALE | 3631 SAN FERNANDO ROAD | GLENDALE | 91204 | 1 | |
| Wholesalers | SLAKEY BROTHERS | 12277 LOMA RICA DRIVE SUITE E | GRASS VALLEY | 95945 | 1 | 10/4/2012 |
| Wholesalers | SLAKEY BROTHERS/GRASS VALLEY | 12277 LOMA RICA DRIVE, STE E | GRASS VALLEY | 94945 | 1 | 3/30/2012 |
| Wholesalers | Geary Pacific Supply | 31050 Huntwood Avenue | Hayward | 94544 | 1 | 8/15/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 2364 W Winton Ave | Hayward | 94545 | 1 | |
| Wholesalers | SLAKEY BROTHERS | 26534 DANTI CT | HAYWARD | 91520 | 1 | |
| Wholesalers | USACD | 17615 CATALPA STREET | HESPERIA | 92345 | 1 | 12/21/2012 |
| Wholesalers | Ferguson Heating & Cooling | 81925 Industrial Place | Indio | 92201 | 1 | 8/8/2012 |
| Wholesalers | Indio Cooling & Heating Supply | 45-100 Golf Center Pkwy | Indio | 92201 | 1 | |
| Wholesalers | Controlco | 18 Technology Drive | Irvine | 92618 | 1 | |
| Wholesalers | Lennox Parts Plus | 299 Goddard Street | Irvine | 92618 | 1 | 12/7/2012 |
| Wholesalers | Baker Distributing | 16253 Ornelas Street | Irwindale | 91706 | 2 | 11/30/2012 |
| Wholesalers | UNITED REFRIGERATION | 5345 THIRD STREET | IRWINDALE | 91706 | 1 | 8/8/2012 |
| Wholesalers | SLAKEY BROTHERS/JACKSON | 1400 SOUTH HIGHWAY 49 | JACKSON | 95642 | 1 | |
| Wholesalers | JOHNSTONE SUPPLY CO | 23211 DEL LAGO DRIVE | LAGUNA HILLS | 92653 | 1 | 8/16/2012 |
| Wholesalers | MSI HVAC | 23456 SOUTH POINTE #B | LAGUNA HILLS | 92653 | 1 | 7/31/2012 |
| Wholesalers | RSD | 2601 ATLANTIC OCEAN DR. | LAKE FOREST | 92630 | 1 | |
| Wholesalers | RSD | 26021 ATLANTIC OCEAN DRIVE | LAKE FOREST | 92630 | 1 | |
| Wholesalers | TOTALINE OF CALIFORNIA | 20191 WINDROW DR. UNIT B | LAKE FOREST | 92630 | 2 | |
| Wholesalers | HEATING & COOLING LLC #812 | 11661 RIVERSIDE DRIVE STE. 185 | LAKESIDE | 92040 | 1 | |
| Wholesalers | Goodman Distribution, Inc. | 9834 Alburtis Avenue | Lakewood | 90712 | 1 | |
| Wholesalers | HEATING & COOLING LLC #823 | 3650 N. INDUSTRY DRIVE | LAKEWOOD | 90712 | 1 | 10/24/2012 |
| Wholesalers | Air Cold Supply | 1144 West Avenue, I-12 | Lancaster | 93534 | 1 | |
| Wholesalers | Johnstone Supply | 42342 10th Street West | Lancaster | 93534 | 1 | |
| Wholesalers | RSD | 1376 STEALTH STREET | LIVERMORE | 94551 | 4 | 12/13/2012 |

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|-------------|---------------------------------------|--------------------------------|---------------|-------|---|------------|
| Wholesalers | BURKE ENGINEERING COMPANY | 155 W. VICTORIA ST. | LONG BEACH | 90805 | 1 | |
| Wholesalers | Coast Appliance Parts | 6250 Cherry Avenue | Long Beach | 90805 | 1 | |
| Wholesalers | JOHNSTONE SUPPLY OF LONG BEACH | 2810 TEMPLE AVE. | LONG BEACH | 90806 | 1 | 2/21/2012 |
| Wholesalers | Trane | 1930 E. Carson Street | Long Beach | 90810 | 1 | |
| Wholesalers | Allied Refrigeration | 1375 E. 15th Street | Los Angeles | 90021 | 1 | |
| Wholesalers | Coast Appliance Parts | 12503 Venice Blvd. | Los Angeles | 90066 | 1 | 5/15/2012 |
| Wholesalers | Coast Appliance Parts | 6909 S. Western Avenue | Los Angeles | 90047 | 1 | |
| Wholesalers | George T. Hall Company | 1257 Goodrich Blvd | Los Angeles | 90022 | 1 | |
| Wholesalers | Goodman Distribution, Inc. | 3334 San Fernando Rd | Los Angeles | 90065 | 1 | 1/10/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 3633 Lenawee Ave. Ste 180 | Los Angeles | 90016 | 1 | |
| Wholesalers | Lennox Industries Inc. | 3410 SAN FERNANDO ROAD, UNIT 5 | LOS ANGELES | 90065 | 2 | 12/28/2012 |
| Wholesalers | RSD | 5910 BOWCROFT STREET | LOS ANGELES | 90016 | 2 | |
| Wholesalers | UNITED REFRIGERATION # D5 | 3421 N. San Fernando Road | Los Angeles | 90065 | 1 | 12/3/2012 |
| Wholesalers | Global HVAC Distributors, Inc. | 900 Spreckles Avenue | Manteca | 95336 | 2 | |
| Wholesalers | JOHNSTONE SUPPLY #328 | 870 PIPER AVE | MERCED | 95341 | 1 | 8/15/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 1405-2 Granite Lane | Modesto | 95351 | 1 | |
| Wholesalers | Baker Distributing Company | 1295 Emerald Avenue | Modesto | 95351 | 2 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 1101 OATES COURT, STE 100 | MODESTO | 95358 | 1 | 5/9/2012 |
| Wholesalers | HEATING & COOLING LLC #834 | 301 S. 9TH STREET-BLDG. C | MODESTO | 95351 | 1 | |
| Wholesalers | Refrigeration Supplies Distributor | 1121 Lone Palm Avenue | Modesto | 95351 | 2 | 8/2/2012 |
| Wholesalers | SLAKEY BROTHERS | 1001 OATES COURT | MODESTO | 95352 | 1 | |
| Wholesalers | SLAKEY BROTHERS/MODESTO | 1001 OATES COURT | MODESTO | 95352 | 1 | 8/22/2012 |
| Wholesalers | UNITED REFRIGERATION | 1413 GRANITE LANE | MODESTO | 95351 | 1 | |
| Wholesalers | GOODMAN DIST. # 706 | 5160 RICHTON ST. "A" | MONTCLAIR | 91763 | 1 | 12/28/2012 |
| Wholesalers | JOHNSTONE-COMMERCE | 8040 SLAUSON AVENUE | MONTEBELLO | 90640 | 1 | |
| Wholesalers | Refrigeration Supplies Distributor | 1201 Monterey Pass Road | Monterey Park | 91754 | 2 | 12/18/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 41670 Reagan Way | Murrieta | 92562 | 1 | |
| Wholesalers | TOTALINE OF CALIFORNIA | 41710 REAGAN WAY | MURRIETA | 92562 | 2 | |
| Wholesalers | United Refrigeration, Inc. | 41573 Cherry Street | Murrieta | 92562 | 1 | |
| Wholesalers | DANCOOL HVAC SUPPLYING | 7235 FULTON AVENUE | N Hollywood | 91605 | 1 | 12/14/2012 |

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|-------------|---------------------------------------|--------------------------------|-----------------|-------|---|------------|
| Wholesalers | BURKE ENGINEERING COMPANY | 3190-A ORANGE GROVE AVE. | N. HIGHLANDS | 95660 | 1 | |
| Wholesalers | Wholesale Solutions | 720 Sixth Street | Napa | 94559 | 1 | 12/13/2012 |
| Wholesalers | Arctic Supply | 213 Civic Center Drive | National City | 91950 | 1 | |
| Wholesalers | UNITED REFRIGERATION | 2626 SOUTHPORT WAY, STE. G | NATIONAL CITY | 91950 | 1 | |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | 887 LAWRENCE DRIVE BRANCH 1056 | NEWBURY PARK | 91320 | 1 | 5/10/2012 |
| Wholesalers | BURKE ENGINEERING COMPANY | 3190 ORANGE GROVE AVE. A | NORTH HIGHLANDS | 95660 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 3190 ORANGE GROVE AVENUE | NORTH HIGHLANDS | 95660 | 2 | |
| Wholesalers | Geary Pacific Supply | 4365 Jetway Court | North Highlands | 95660 | 2 | |
| Wholesalers | Slakey Brothers | 3201 Orange Grove Avenue | North Highlands | 95660 | 2 | 3/1/2012 |
| Wholesalers | UNITED REFRIGERATION # P1 | 4248 ROSEVILLE ROAD | North Highlands | 95660 | 1 | |
| Wholesalers | US AIR CONDITIONING DISTRIBUTORS | 3333 ORANGE GROVE | NORTH HIGHLANDS | 95660 | 1 | 5/17/2012 |
| Wholesalers | UNITED REFRIGERATION # C4 | 16606 Schoenborn St | North Hills | 91343 | 1 | 8/2/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 325 5th Street | Oakland | 94607 | 1 | |
| Wholesalers | Baker Distributing Company | 180 Hegenberger Loop | Oakland | 94621 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 7303 EDGEWATER DRIVE, #A | OAKLAND | 94621 | 1 | |
| Wholesalers | Cass Inc. | 2730 Peralta Street | Oakland | 94607 | 1 | |
| Wholesalers | Controlco | 985 3rd Street | Oakland | 94607 | 1 | |
| Wholesalers | Johnstone Supply | 333 Market Street | Oakland | 94607 | 1 | |
| Wholesalers | Lennox Industries Inc. | 2500 E. FRANCIS STREET | ONTARIO | 91761 | 1 | |
| Wholesalers | LENNOX PARTS PLUS ONTARIO | 2310 S. VINEYARD | ONTARIO | 91761 | 1 | 12/10/2012 |
| Wholesalers | RSD | 1933 S VINEYARD AVE | ONTARIO | 91761 | 2 | 7/20/2012 |
| Wholesalers | SIGLER-ONTARIO | 2641 LINDSAY PRIVADO DR. | ONTARIO | 91761 | 1 | 11/21/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 2641 LINDSAY PRIVADO DR. | ONTARIO | 91761 | 1 | |
| Wholesalers | UNITED REFRIGERATION | 4060 EAST AIRPORT DRIVE | ONTARIO | 91761 | 2 | |
| Wholesalers | Heating & Cooling Supply, LLC | 685 N. Poplar Street | Orange | 92868 | 1 | 8/13/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 615 W. GROVE AVE. | ORANGE | 92865 | 2 | 10/31/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 300 N. Graves Avenue, Unit C | Oxnard | 93030 | 1 | |
| Wholesalers | Heating & Cooling Supply, LLC | 320 Lambert Street | Oxnard | 93036 | 1 | |
| Wholesalers | TOTALINE OF CALIFORNIA | 421 S. LOMBARD ST. | OXNARD | 93030 | 2 | 4/11/2012 |
| Wholesalers | UNITED REFRIGERATION | 3120 PASEO MERCADO, STE. 101 | OXNARD | 93036 | 1 | 11/28/2012 |

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|-------------|--|-------------------------------|------------------|-------|---|------------|
| Wholesalers | Baker Distributing Company | 9355 Remick Avenue | Pacoima | 91331 | 1 | 10/30/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 78-305 DINAH SHORE, BLDG 1200 | PALM DESERT | 92211 | 2 | |
| Wholesalers | United Refrigeration, Inc. | 77670 Springfield Lane | Palm Desert | 92211 | 1 | 5/22/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 30720 Gunther Street | Palm Springs | 92276 | 1 | 9/26/2012 |
| Wholesalers | Goodman Distribution, Inc. | 40222-A LaQuinta Lane | Palmdale | 93551 | 1 | |
| Wholesalers | United Refrigeration, Inc. | 510 E. Rancho Vista Blvd. | Palmdale | 93550 | 1 | |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | 3550 LA CRUZ WAY BRANCH 1893 | PASO ROBLES | 93446 | 1 | |
| Wholesalers | USACD | 495 MARQUITA AVENUE | PASO ROBLES | 93446 | 1 | 8/30/2012 |
| Wholesalers | Mountain Air | 14441 Spurlock Lane | Pine Grove | 95665 | 1 | 11/26/2012 |
| Wholesalers | Air Cold Supply | 2750 S. Towne Avenue | Pomona | 91766 | 1 | |
| Wholesalers | Allied Refrigeration | 1256 Price Avenue | Pomona | 91767 | 1 | 11/26/2012 |
| Wholesalers | RSD | 680 UNION AVE | POMONA | 91766 | 1 | 10/31/2012 |
| Wholesalers | RSD | 680 UNION AVE | POMONA | 91768 | 1 | 10/31/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 18791 RANCHO WAY, UNIT A | RANCHO DOMINGUEZ | 90220 | 1 | 8/21/2012 |
| Wholesalers | Geary Pacific Supply | 8711 Airport Road | Redding | 96002 | 1 | |
| Wholesalers | J.W. Wood Co., Inc. | 3676 Old Hwy 44 Drive | Redding | 96003 | 1 | |
| Wholesalers | Johnstone Supply | 940 Wall Street | Redding | 96002 | 1 | |
| Wholesalers | Refrigeration Supplies Distributor | 915 Industrial Avenue | Redding | 96002 | 4 | 3/12/2012 |
| Wholesalers | SLAKEY BROTHERS | 1200 INDUSTRIAL STREET | REDDING | 96002 | 1 | |
| Wholesalers | SLAKEY BROTHERS/REDDING | 1200 INDUSTRIAL STREET | REDDING | 96049 | 1 | 5/23/2012 |
| Wholesalers | US Air Conditioning Distributors, Inc. | 3035 Crossroads Drive | Redding | 96003 | 1 | 7/20/2012 |
| Wholesalers | Baker Distributing Company | 25978 Business Center Drive | Redlands | 92374 | 1 | |
| Wholesalers | Goodman Distribution, Inc. | 1972 Essex Court | Redlands | 92373 | 1 | 12/7/2012 |
| Wholesalers | SLAKEY BROTHERS | 30 STEIN AM RHEIN CT STE F | REDWOOD CITY | 94063 | 1 | |
| Wholesalers | SLAKEY BROTHERS/REDWOOD CITY | 30 STEIN AM RHEIN COURT STE F | REDWOOD CITY | 94063 | 1 | 5/21/2012 |
| Wholesalers | Air Cold Supply | 2751 Durahart Street | Riverside | 92507 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 1790 IOWA AVENUE | RIVERSIDE | 92507 | 1 | |
| Wholesalers | Geary Pacific Supply | 6421 Box Springs Blvd | Riverside | 92507 | 3 | |
| Wholesalers | Heating & Cooling LLC | 2350 Mulberry Street | Riverside | 92501 | 1 | 9/6/2012 |
| Wholesalers | Refrigeration Supplies Distributor | 4131 Latham Street | Riverside | 92501 | 2 | 10/31/2012 |

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|-------------|---------------------------------------|-------------------------------|------------------|-------|---|------------|
| Wholesalers | TOTALINE OF CALIFORNIA | 6450 SYCAMORE CANYON BLVD. | RIVERSIDE | 92507 | 2 | |
| Wholesalers | Trane RIVERSIDE | 2222 KANSAS AVE. SUITE C | RIVERSIDE | 92507 | 1 | |
| Wholesalers | US AIR CONDITIONING DISTRIBUTORS | 2100 CHICAGO AVENUE | RIVERSIDE | 92507 | 1 | 11/28/2012 |
| Wholesalers | TRANE PARTS CENTER | 4145 DEL MAR AVENUE | ROCKLIN | 95677 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 8825 WASHINGTON BLVD. STE 400 | ROSEVILLE | 95678 | 1 | 11/20/2012 |
| Wholesalers | RSD | 640 COMMERCE DRIVE | ROSEVILLE | 95678 | 2 | 3/29/2012 |
| Wholesalers | RSD | 640 COMMERCE DRIVE, #200 | ROSEVILLE | 95678 | 2 | 8/3/2012 |
| Wholesalers | SLAKEY BROTHERS/S. SAN FRANCISCO | 162 WEST HARRIS AVE | S. SAN FRANCISCO | 94080 | 1 | 5/17/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 2703 5th Street | Sacramento | 95818 | 1 | 11/28/2012 |
| Wholesalers | CFM Equipment Distributors, Inc. | 1644 Main Avenue | Sacramento | 95838 | 1 | 8/15/2012 |
| Wholesalers | Controlco | 251 Opportunity Street | Sacramento | 95838 | 1 | |
| Wholesalers | Ferguson Heating & Cooling | 903 N. Market Blvd | Sacramento | 95834 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 840 N. 10TH ST. SUITE J | SACRAMENTO | 95811 | 1 | 7/18/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 9621 Oates Dr | Sacramento | 95827 | 1 | |
| Wholesalers | Heating & Cooling LLC | 7321 Roseville Road | SACRAMENTO | 95842 | 1 | 11/7/2012 |
| Wholesalers | Lennox Industries Inc. | 1021 Striker Avenue | Sacramento | 95835 | 2 | 12/28/2012 |
| Wholesalers | Lennox Industries Inc. | 1059 VINE STREET, SUITE 108 | SACRAMENTO | 95814 | 2 | |
| Wholesalers | Refrigeration Supplies Distributor | 10170 Croydon Way | Sacramento | 95827 | 1 | |
| Wholesalers | RSD | 2350 LEXINGTON STREET | SACRAMENTO | 95815 | 2 | 11/20/2012 |
| Wholesalers | Russell Sigler Inc. | 1037 N. Market Blvd | Sacramento | 95834 | 1 | |
| Wholesalers | Russell Sigler Inc. | 8615 23rd Avenue | Sacramento | 95826 | 1 | |
| Wholesalers | STANDARD SUPPLY USA | 1820 "S" STREET | SACRAMENTO | 95811 | 2 | |
| Wholesalers | Thrifty Supply | 8541 23rd Avenue | Sacramento | 95826 | 0 | 3/13/2012 |
| Wholesalers | THRIFTY SUPPLY | 8541 23RD AVE | SACRAMENTO | 95826 | 2 | 12/19/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 8615 23RD AVENUE | SACRAMENTO | 95826 | 1 | |
| Wholesalers | TRANE PARTS - SOUTH SACRAMENTO | 5440 FLORIN PERKINS RD | SACRAMENTO | 95826 | 1 | |
| Wholesalers | WHOLESALE OUTLET, INC | 4920 RALEY BLVD | SACRAMENTO | 95838 | 1 | |
| Wholesalers | JOHNSTONE SUPPLY #140 | 1335 DAYTON ST. SUITE A | SALINAS | 93901 | 1 | |
| Wholesalers | RSD | 527 BRUNKEN AVENUE | SALINAS | 93901 | 2 | |
| Wholesalers | SLAKEY BROTHERS | 601 WORK STREET | SALINAS | 93901 | 1 | |

CA DTSC Thermostat Collection Report For Calendar Year 2012 Activities

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|-------------|---------------------------------------|-------------------------------|----------------|-------|---|------------|
| Wholesalers | SLAKEY BROTHERS/SALINAS | 601 WORK STREET | SALINAS | 93901 | 1 | |
| Wholesalers | California Refrigeration Supply, Inc. | 2596 Nicholson Street | San Leandro | 94577 | 1 | |
| Wholesalers | UNITED REFRIGERATION | 625 LINCOLN AVENUE | SAN BERNADINO | 92408 | 1 | |
| Wholesalers | Allied Refrigeration | 404 S. I Street | San Bernardino | 92410 | 1 | 12/27/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 399 S. Arrowhead Avenue | San Bernardino | 92408 | 1 | 12/13/2012 |
| Wholesalers | JOHNSTONE SUPPLY SAN BERNARDINO | 485 SOUTH "I" STREET | SAN BERNARDINO | 92410 | 1 | 11/15/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 1090 E. COOLEY AVENUE | SAN BERNARDINO | 92408 | 2 | |
| Wholesalers | UNITED REFRIGERATION | 933 WASHINGTON STREET | SAN CARLOS | 94070 | 1 | 4/11/2012 |
| Wholesalers | Allied Refrigeration | 7865 Ostrow Street | San Diego | 92111 | 1 | |
| Wholesalers | American Refrigeration Supplies, Inc. | 7874 Ronson Road | San Diego | 92111 | 1 | 3/1/2012 |
| Wholesalers | Baker Distributing Company | 5474 Complex Street Suite 502 | San Diego | 92123 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 4250 PEPSI DR # D | SAN DIEGO | 92111 | 1 | |
| Wholesalers | Coast Appliance Parts | 4408 Twain Avenue | San Diego | 92120 | 1 | |
| Wholesalers | George T. Hall Company | 4289 Taylor Street | San Diego | 92110 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 3562 Ruffin Road | San Diego | 92123 | 1 | |
| Wholesalers | Heating & Cooling LLC | 3970 Home Avenue | San Diego | 92105 | 1 | 7/26/2012 |
| Wholesalers | JOHNSTONE SUPPLY CO | 4320 PACIFIC HWY | SAN DIEGO | 92110 | 2 | 12/6/2012 |
| Wholesalers | Lennox Industries Inc. | 7670 TRADE STREET, STE. A - D | SAN DIEGO | 92121 | 2 | 12/7/2012 |
| Wholesalers | RSD | 1721 LOGAN AVENUE | SAN DIEGO | 92113 | 2 | |
| Wholesalers | RSD | 7332 CONVOY COURT, STE A | SAN DIEGO | 92111 | 2 | 11/20/2012 |
| Wholesalers | RUSSELL SIGLER | 4863 SHAWLINE STREET | SAN DIEGO | 92111 | 1 | |
| Wholesalers | TOTALINE OF CALIFORNIA | 4863 SHAWLINE STREET | SAN DIEGO | 92111 | 1 | 3/27/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 6650 TOP GUN ST. | SAN DIEGO | 92121 | 2 | |
| Wholesalers | Trane Supply | 3565 Corporate Court | San Diego | 92123 | 1 | |
| Wholesalers | United Refrigeration, Inc. | 8835 Complex Drive | San Diego | 92123 | 1 | 11/15/2012 |
| Wholesalers | US Air Conditioning Distributors | 4770 Ruffner Street | San Diego | 92111 | 0 | 10/26/2012 |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 525 PARK AVENUE SUITE A | SAN FERNANDO | 91340 | 1 | 12/14/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 145 11th Street | San Francisco | 94103 | 1 | |
| Wholesalers | Baker Distributing Co. | 428 NORTH CANAL ST. | SAN FRANCISCO | 94107 | 1 | |
| Wholesalers | CONTROLCO | 35 Dorman Avenue | San Francisco | 94124 | 1 | |

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|-------------|------------------------------------|-----------------------------------|-----------------|-------|---|------------|
| Wholesalers | AIR COLD SUPPLY | 429 Madera Street | San Gabriel | 91776 | 2 | 11/28/2012 |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | 429 MADERA STREET BRANCH 1059 | SAN GABRIEL | 91776 | 0 | 2/9/2012 |
| Wholesalers | Air Cold Supply | 206 Commercial Street | San Jose | 95112 | 2 | 7/25/2012 |
| Wholesalers | AIRCOLD SUPPLY | 206 COMMERCIAL ST. | SAN JOSE | 95112 | 0 | 7/12/2012 |
| Wholesalers | Allied Refrigeration | 702 E. Gish Road | San Jose | 95112 | 1 | 12/4/2012 |
| Wholesalers | BAKER DISTRIBUTING CO. | 2138 ZANKER ROAD | SAN JOSE | 95131 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 1225 N. FIFTH STREET | SAN JOSE | 95112 | 1 | |
| Wholesalers | BURKE ENGINEERING COMPANY | 1225 NORTH FIFTH STREET | SAN JOSE | 95112 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 1070 COMMERCIAL STREET STE 103 | SAN JOSE | 95112 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION, INC. | 1070 Commercial Street, Suite 103 | San Jose | 95112 | 1 | |
| Wholesalers | Johnstone Supply | 1070 Commercial Street | San Jose | 95112 | 1 | 10/31/2012 |
| Wholesalers | NORTHAIRE SUPPLY CO INC | 1359 OAKLAND ROAD | SAN JOSE | 95112 | 1 | |
| Wholesalers | Refrigeration Supplies Distributor | 1050 Commercial Street | San Jose | 95112 | 2 | 11/12/2012 |
| Wholesalers | RSD | 1050 Commercial Street | San Jose | 95112 | 0 | 3/28/2012 |
| Wholesalers | SIGLER | 1070 COMMERCIAL STREET, SUITE 106 | SAN JOSE | 95112 | 2 | 11/20/2012 |
| Wholesalers | SLAKEY BROTHERS | 863 SAVAKER AVE | SAN JOSE | 95126 | 1 | 11/5/2012 |
| Wholesalers | SLAKEY BROTHERS | 863 SAVAKER STREET | SAN JOSE | 95126 | 2 | 9/17/2012 |
| Wholesalers | SLAKEY BROTHERS/SAN JOSE | 863 SAVAKER STREET | SAN JOSE | 95126 | 1 | |
| Wholesalers | T & A SUPPLY, INC. | 1045 NORTH 10TH STREET | SAN JOSE | 95112 | 1 | |
| Wholesalers | UNITED REFRIGERATION | 904 COMMERCIAL STREET | SAN JOSE | 95112 | 1 | 3/27/2012 |
| Wholesalers | Allied Refrigeration | 2175 Adams Avenue | San Leandro | 94577 | 1 | |
| Wholesalers | Baker Distributing Co. | 2375 DAVIS ST. | SAN LEANDRO | 94577 | 1 | |
| Wholesalers | Pacific HVAC Depot | 3029 Teagarden Street | San Leandro | 94577 | 1 | |
| Wholesalers | RSD | 436 HESTER STREET | SAN LEANDRO | 94577 | 2 | 4/16/2012 |
| Wholesalers | UNITED REFRIGERATION | 2405 VERNA COURT | SAN LEANDRO | 94577 | 1 | |
| Wholesalers | US Air Conditioning Distributors | 1995 Fairway Drive | San Leandro | 94577 | 1 | 9/17/2012 |
| Wholesalers | AIR COLD-A FERGUSON ENTERPRISE | 149 B GRANADA DRIVE BRANCH 1894 | SAN LUIS OBISPO | 93401 | 1 | |
| Wholesalers | Goodman Distribution | 120 Mata Way | San Marcos | 92069 | 1 | |
| Wholesalers | SLAKEY BROTHERS | 321 ORANGE AVENUE | SAND CITY | 93955 | 1 | |

CA DTSC Thermostat Collection Report For Calendar Year 2012 Activities

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|-------------|---------------------------------------|------------------------------|---------------------|-------|---|------------|
| Wholesalers | SLAKEY BROTHERS/SAND CITY | 321 ORANGE AVENUE | SAND CITY | 93955 | 1 | |
| Wholesalers | Baker Distributing Co. | 3020 SOUTH KILSON DRIVE | SANTA ANA | 92707 | 1 | 12/28/2012 |
| Wholesalers | Coast Appliance Parts | 1702 S. Lyon Street | Santa Ana | 92705 | 1 | |
| Wholesalers | Johnstone Supply | 520 E. Dyer Road | Santa Ana | 92707 | 1 | |
| Wholesalers | RSD | 2100 WILSHIRE AVENUE, UNIT A | SANTA ANA | 92705 | 1 | |
| Wholesalers | RSD SANTA ANA | 2100 E. WILSHIRE AVE | SANTA ANA | 92705 | 1 | 11/13/2012 |
| Wholesalers | United Refrigeration, Inc. | 1920 E. McFadden Avenue | Santa Ana | 92705 | 1 | |
| Wholesalers | JOHNSTONE-SANTA BARBARA | 220 WEST GUTIERREZ STREET | SANTA BARBARA | 93101 | 1 | |
| Wholesalers | UNITED REFRIGERATION | 134 NOPALITAS WAY | SANTA BARBARA | 93103 | 1 | 12/3/2012 |
| Wholesalers | Air Cold Supply | 26470 Summit Circle | Santa Clarita | 91350 | 1 | 7/18/2012 |
| Wholesalers | SLAKEY BROTHERS | 111 MADRANOE STREET | SANTA CRUZ | 95060 | 1 | 3/13/2012 |
| Wholesalers | SLAKEY BROTHERS | 111 MADRONE | SANTA CRUZ | 95060 | 1 | |
| Wholesalers | SLAKEY BROTHERS/SANTA CRUZ | 111 MADRONE | SANTA CRUZ | 95060 | 1 | 11/26/2012 |
| Wholesalers | TOTALINE OF CALIFORNIA | 12819 TELEGRAPH RD. | SANTA FE SPRINGS | 90670 | 1 | |
| Wholesalers | United Refrigeration, Inc. | 1265 W. McCoy Lane | Santa Maria | 93455 | 1 | 11/20/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 245 Sutton Place | Santa Rosa | 95407 | 1 | |
| Wholesalers | Baker Distributing Co. | 300 WEST ROBLES BLDG J. | SANTA ROSA | 95407 | 1 | |
| Wholesalers | Dan Goetz Wholesale Outlet Inc. | 335 Ohair Court | Santa Rosa | 95407 | 1 | 3/1/2012 |
| Wholesalers | JOHNSTONE SUPPLY #098 | 200 TALMADGE DRIVE | SANTA ROSA | 95407 | 1 | 8/8/2012 |
| Wholesalers | JOHNSTONE SUPPLY CO | 477 QUILLCO CT | SANTA ROSA | 95407 | 2 | |
| Wholesalers | R.S.D. | 3354 McMAUDE PL | SANTA ROSA | 95407 | 0 | |
| Wholesalers | Refrigeration Supplies Distributor | 3355 McMaude Place | Santa Rosa | 95407 | 2 | 2/23/2012 |
| Wholesalers | SLAKEY BROTHERS | 2845 DUKE COURT | SANTA ROSA | 95407 | 2 | 6/19/2012 |
| Wholesalers | SLAKEY BROTHERS/SANTA ROSA | 2845 DUKE COURT | SANTA ROSA | 95407 | 1 | 10/22/2012 |
| Wholesalers | Allied Refrigeration | 2300 E. 28th Street | Signal Hill | 90755 | 1 | 11/15/2012 |
| Wholesalers | US Air Conditioning Distributors | 2751 Temple Avenue | Signal Hill | 90755 | 1 | 8/14/2012 |
| Wholesalers | SLAKEY BROTHERS/SONORA | 19450 INDUSTRIAL DRIVE | SONORA | 95370 | 1 | |
| Wholesalers | Coast Appliance Parts | 2606 Lee Avenue | South El Monte | 91733 | 1 | |
| Wholesalers | American Refrigeration Supplies, Inc. | 255 Harbor Way | South San Francisco | 94080 | 1 | |
| Wholesalers | Johnstone Supply | 1445 San Mateo Avenue | South San Francisco | 94080 | 2 | |

CA DTSC Thermostat Collection Report For Calendar Year 2012 Activities

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| Wholesalers | RSD | 285 LAWRENCE AVENUE | SOUTH SAN FRANCISCO | 94080 | 2 | 4/12/2012 |
| Wholesalers | American Refrigeration Supplies, Inc. | 740 E. Hazelton Avenue | Stockton | 95203 | 1 | |
| Wholesalers | Goodman Distribution, Inc. | 2601 Teepee Drive | Stockton | 95205 | 1 | 6/7/2012 |
| Wholesalers | RSD | 2882 TEEPEE DRIVE | STOCKTON | 95205 | 3 | 1/12/2012 |
| Wholesalers | SLAKEY BROTHERS | 2540 TEEPEE DRIVE | STOCKTON | 95208 | 1 | |
| Wholesalers | SLAKEY BROTHERS/STOCKTON | 2540 TEEPEE DRIVE | STOCKTON | 95208 | 1 | |
| Wholesalers | US Air Conditioning Distributors | 2826 Teepee Drive | Stockton | 95205 | 1 | 11/26/2012 |
| Wholesalers | WHOLESALE OUTLET, INC STOCKTON | 2243 STEWART ST | STOCKTON | 95205 | 1 | |
| Wholesalers | JOHNSTONE SUPPLY # 33 | 8639 TAMARACK AVE | SUN VALLEY | 91352 | 1 | 11/29/2012 |
| Wholesalers | Totaline | 7615 N. San Fernando Road | Sun Valley | 91352 | 2 | |
| Wholesalers | WESTERN NEVADA SUPPLY | 200 BELLA WAY | SUSANVILLE | 96130 | 1 | |
| Wholesalers | Atwater Supply | 42655 Rio Nedo | Temecula | 92590 | 1 | 10/31/2012 |
| Wholesalers | REFRIGERATION SUPPLIES DISTRIBUTOR | 43300 BUSINESS PARK DR. | TEMECULA | 92590 | 1 | |
| Wholesalers | REFRIGERATION SUPPLIES DISTRIBUTOR | 43300 BUSINESS PARK DR. # A102 | TEMECULA | 92590 | 1 | 5/8/2012 |
| Wholesalers | US Air Conditioning Distributors | 27470 Colt Court | Temecula | 92590 | 1 | 10/31/2012 |
| Wholesalers | PACIFIC HEATING & COOLING SUPPLY, INC, | 3720 LA CRUZ WAY | TEMPLETON | 93465 | 1 | |
| Wholesalers | JOHNSTONE-THOUSAND OAKS | 2645 TOWNSGATE ROAD # 600 | THOUSAND OAKS | 91361 | 1 | 12/17/2012 |
| Wholesalers | Heating & Cooling LLC | 72110 Corporate Way | Thousand Palms | 92276 | 1 | |
| Wholesalers | LENNOX | 30575 HILL ST. | THOUSAND PALMS | 92276 | 1 | 11/21/2012 |
| Wholesalers | WESTERN NEVADA SUPPLY | 10990 INDUSTRIAL WAY | TRUCKEE | 96161 | 1 | |
| Wholesalers | Allied Refrigeration | 1211 E. Edinger Avenue | Tustin | 92780 | 1 | 12/12/2012 |
| Wholesalers | TRANE - TUSTIN 544 | 1451 EDINGER AVE STE E | TUSTIN | 92780 | 1 | |
| Wholesalers | Air Cold Supply | 289 N. McArthur Way | Upland | 91786 | 1 | |
| Wholesalers | Baker Distributing Company | 1261 W. 9th Street | Upland | 91786 | 1 | 11/1/2012 |
| Wholesalers | Johnstone Supply | 2132 Aviation Drive | Upland | 91786 | 3 | 4/4/2012 |
| Wholesalers | Air Cold Supply | 13500 Saticoy Street | Van Nuys | 91402 | 1 | |
| Wholesalers | Allied Refrigeration | 15558 Cabrito Road | Van Nuys | 91406 | 1 | 8/8/2012 |
| Wholesalers | BURKE ENGINEERING COMPANY | 6605 ODESSA AVENUE | VAN NUYS | 91406 | 1 | |
| Wholesalers | Coast Appliance Parts | 9515 Kester Avenue | Van Nuys | 91411 | 1 | |

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|-------------|---------------------------------------|-------------------------|-------------|-------|---|------------|
| Wholesalers | Geary Pacific Supply | 6918 Valjean Avenue | Van Nuys | 91406 | 2 | 11/8/2012 |
| Wholesalers | Genie Air Conditioning & Heating Inc. | 15041 Calvert Street | Van Nuys | 91411 | 1 | |
| Wholesalers | George T. Hall Company | 15915 Arminta Street | Van Nuys | 91406 | 1 | |
| Wholesalers | GOODMAN DISTRIBUTION INC. # 768 | 16300 STAGG STREET | VAN NUYS | 91406 | 1 | 11/20/2012 |
| Wholesalers | Heating & Cooling Supply, LLC | 14742 Raymer Street | Van Nuys | 91405 | 1 | 11/7/2012 |
| Wholesalers | R.E. Michel Company, Inc. | 14718 Raymer Street | Van Nuys | 91405 | 1 | 9/18/2012 |
| Wholesalers | R.E. Michel Company, Inc. | 14718 Raymer Street | Van Nuys | 91405 | 1 | 11/30/2012 |
| Wholesalers | RSD | 14766 RAYMER STREET | VAN NUYS | 91405 | 1 | |
| Wholesalers | RSD | 14901 RAYMER ST | VAN NUYS | 91405 | 1 | 12/20/2012 |
| Wholesalers | JOHNSTONE-VENTURA | 5960 VALENTINE ROAD # 3 | VENTURA | 93003 | 1 | |
| Wholesalers | Air Cold Supply | 15220 Anacapa Road | Victorville | 92392 | 1 | 6/27/2012 |
| Wholesalers | Goodman Distribution, Inc. | 15024 Anacapa Road | Victorville | 92392 | 1 | 5/29/2012 |
| Wholesalers | Coast Appliance Parts | 312 E. Tulare Street | Visalia | 93277 | 1 | |
| Wholesalers | JOHNSTONE SUPPLY #329 | 435 N. BURKE | VISALIA | 93292 | 1 | 3/14/2012 |
| Wholesalers | RSD | 1833 EAST MAIN STREET | VISALIA | 93292 | 2 | |
| Wholesalers | United Refrigeration, Inc. | 1736 Janelli Court | Visalia | 93292 | 1 | 3/9/2012 |
| Wholesalers | SLAKEY BROTHERS | 545 BOYD STREET | YUBA CITY | 95992 | 1 | 12/6/2012 |
| Wholesalers | SLAKEY BROTHERS/YUBA CITY | 545 BOYD STREET | YUBA CITY | 95991 | 1 | 4/12/2012 |

Appendix D: TRC 2011 IRS FORM 990

| | | | |
|---|--|---|-----------------------------|
| Form 990 Department of the Treasury Internal Revenue Service | Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation) ▶ The organization may have to use a copy of this return to satisfy state reporting requirements. | OMB No. 1545-0047 2011 Open to Public Inspection | |
| A For the 2011 calendar year, or tax year beginning and ending | | | |
| B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending | C Name of organization THERMOSTAT RECYCLING CORPORATION Doing Business As TRC Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1300 NORTH 17TH STREET 1752 City or town, state or country, and ZIP + 4 ARLINGTON, VA 22209 F Name and address of principal officer: MARK TIBBETTS SAME AS C ABOVE | D Employer identification number 54-1830284 E Telephone number 703-841-3200 G Gross receipts \$ 835,147. H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶ | |
| I Tax-exempt status: <input type="checkbox"/> 501(c)(3) <input checked="" type="checkbox"/> 501(c) (6) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 | | | |
| J Website: ▶ WWW.THERMOSTAT-RECYCLE.ORG | | | |
| K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ L Year of formation: 1996 M State of legal domicile: DE | | | |
| Part I Summary | | | |
| Activities & Governance | 1 Briefly describe the organization's mission or most significant activities: TO PROMOTE THE SAFE COLLECTION AND PROPER DISPOSAL OF MERCURY-CONTAINING THERMOSTATS. | | |
| | 2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets. | | |
| | 3 Number of voting members of the governing body (Part VI, line 1a) | 3 | 4 |
| | 4 Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 4 |
| | 5 Total number of individuals employed in calendar year 2011 (Part V, line 2a) | 5 | 0 |
| | 6 Total number of volunteers (estimate if necessary) | 6 | 0 |
| | 7a Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0. |
| b Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0. | |
| Revenue | 8 Contributions and grants (Part VIII, line 1h) | Prior Year 0. | Current Year 0. |
| | 9 Program service revenue (Part VIII, line 2g) | 671,686. | 834,908. |
| | 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 418. | 239. |
| | 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 0. | 0. |
| | 12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 672,104. | 835,147. |
| Expenses | 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) | 0. | 0. |
| | 14 Benefits paid to or for members (Part IX, column (A), line 4) | 0. | 0. |
| | 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) | 186,787. | 204,869. |
| | 16a Professional fundraising fees (Part IX, column (A), line 11e) | 0. | 0. |
| | b Total fundraising expenses (Part IX, column (D), line 25) ▶ 0. | | |
| | 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) | 526,907. | 665,951. |
| | 18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) | 713,694. | 870,820. |
| 19 Revenue less expenses. Subtract line 18 from line 12 | -41,590. | -35,673. | |
| Net Assets or Fund Balances | 20 Total assets (Part X, line 16) | Beginning of Current Year 189,998. | End of Year 172,197. |
| | 21 Total liabilities (Part X, line 26) | 324,829. | 342,701. |
| | 22 Net assets or fund balances. Subtract line 21 from line 20 | -134,831. | -170,504. |
| Part II Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. | | | |
| Sign Here Signature of officer MARK TIBBETTS, EXECUTIVE DIRECTOR Type or print name and title | Date | | |
| Paid Preparer Use Only Print/Type preparer's name CHARLES DIETZ, III, CPA Preparer's signature DIXON HUGHES GOODMAN LLP Firm's name 1410 SPRING HILL ROAD, STE 500 Firm's address TYSONS, VA 22102 | Date Check if self-employed <input type="checkbox"/> PTIN P00058595 Firm's EIN ▶ 56-0747981 Phone no. 703.970.0400 | | |
| May the IRS discuss this return with the preparer shown above? (see instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| 132001 01-23-12 LHA For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2011) | | | |

Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

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Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐

- 1 Briefly describe the organization's mission:

NONE

- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?
- ☐
- Yes
- ☒
- No

If "Yes," describe these new services on Schedule O.

- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?
- ☐
- Yes
- ☒
- No

If "Yes," describe these changes on Schedule O.

- 4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 768,386. Including grants of \$) (Revenue \$)
 TRC FACILITATES THE PROPER MANAGEMENT OF WASTE MERCURY THERMOSTATS BY PROVIDING RECYCLING CONTAINERS FOR THE COLLECTION AND TRANSPORT OF WASTE MERCURY THERMOSTATS TO ELIGIBLE COLLECTION SITES IN ALL US STATES, EXCEPT ALASKA AND HAWAII. TRC ALSO CONDUCTS AN EDUCATIONAL CAMPAIGN PROMOTING THE PROPER MANAGEMENT OF WASTE MERCURY THERMOSTATS. SINCE TRC'S FOUNDING, TRC HAS COLLECTED OVER 1,000,000 MERCURY-CONTAINING THERMOSTATS - THAT HAS KEPT 4.9 TONS OF MERCURY OUT OF THE WASTE SYSTEM.

4b (Code:) (Expenses \$ Including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ Including grants of \$) (Revenue \$)

- 4d Other program services (Describe in Schedule O.)

(Expenses \$ Including grants of \$) (Revenue \$)

4e Total program service expenses 768,386.

Form 990 (2011)

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02-09-12

Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

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Part IV Checklist of Required Schedules

| | Yes | No |
|--|-----|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> | | X |
| 2 Is the organization required to complete Schedule B, Schedule of Contributors? | | X |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> | | X |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> | | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> | X | |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> | | X |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> | | X |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> | | X |
| 9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> | | X |
| 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> | | X |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> | | X |
| b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> | | X |
| c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> | | X |
| d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> | | X |
| e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> | | X |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> | | X |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i> | | X |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i> | | X |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> | | X |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | | X |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> | | X |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i> | | X |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i> | | X |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> | | X |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> | | X |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> | | X |
| 20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> | | X |
| b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | | |

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Part IV Checklist of Required Schedules (continued)

| | Yes | No |
|--|-----|----|
| 21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 17? If "Yes," complete Schedule I, Parts I and II | 21 | X |
| 22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 27? If "Yes," complete Schedule I, Parts I and III | 22 | X |
| 23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J | 23 | X |
| 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25 | 24a | X |
| b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | |
| c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | |
| d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | |
| 25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | |
| b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I | 25b | |
| 26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II | 26 | X |
| 27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III | 27 | X |
| 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV Instructions for applicable filing thresholds, conditions, and exceptions): | | |
| a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | X |
| b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | X |
| c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | X |
| 29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | X |
| 30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M | 30 | X |
| 31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I | 31 | X |
| 32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II | 32 | X |
| 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I | 33 | X |
| 34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1 | 34 | X |
| 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | X |
| b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35b | X |
| 36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 | 36 | |
| 37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | X |
| 38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? | 38 | X |

Note. All Form 990 filers are required to complete Schedule O.

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

| | 1a | 1b | 1c | 2a | 2b | 3a | 3b | 4a | 4b | 5a | 5b | 5c | 6a | 6b | 7a | 7b | 7c | 7d | 7e | 7f | 7g | 7h | 8 | 9a | 9b | 10a | 10b | 11a | 11b | 12a | 12b | 13a | 13b | 13c | 14a | 14b |
|--|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|---|----|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | | 0 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <i>Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c If "Yes," to line 5a or 5b, did the organization file Form 8866-T? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 Organizations that may receive deductible contributions under section 170(c). | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| d If "Yes," indicate the number of Forms 8282 filed during the year | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 Sponsoring organizations maintaining donor advised funds. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a Did the organization make any taxable distributions under section 4966? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b Did the organization make a distribution to a donor, donor advisor, or related person? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10 Section 501(c)(7) organizations. Enter: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a Initiation fees and capital contributions included on Part VIII, line 12 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 11 Section 501(c)(12) organizations. Enter: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a Gross income from members or shareholders | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If "Yes," enter the amount of tax-exempt interest received or accrued during the year | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a Is the organization licensed to issue qualified health plans in more than one state? <i>Note. See the instructions for additional information the organization must report on Schedule O.</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c Enter the amount of reserves on hand | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 14a Did the organization receive any payments for indoor tanning services during the tax year? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

☒**Section A. Governing Body and Management**

| | Yes | No |
|--|-----|----|
| 1a Enter the number of voting members of the governing body at the end of the tax year | 4 | |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. | | |
| b Enter the number of voting members included in line 1a, above, who are independent | 4 | |
| 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | | X |
| 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | | X |
| 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | | X |
| 5 Did the organization become aware during the year of a significant diversion of the organization's assets? | | X |
| 6 Did the organization have members or stockholders? | X | |
| 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | X | |
| b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | | X |
| 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | |
| a The governing body? | X | |
| b Each committee with authority to act on behalf of the governing body? | X | |
| 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | X |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | Yes | No |
|--|-----|----|
| 10a Did the organization have local chapters, branches, or affiliates? | | X |
| b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | | |
| 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | X | |
| b Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | |
| 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 | X | |
| b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | | X |
| c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done | | X |
| 13 Did the organization have a written whistleblower policy? | X | |
| 14 Did the organization have a written document retention and destruction policy? | X | |
| 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | |
| a The organization's CEO, Executive Director, or top management official | X | |
| b Other officers or key employees of the organization | X | |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | |
| 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | | X |
| b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | | |

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **CA, MT**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **MARK TIBBETTS - 703-841-3200**
1300 NORTH 17TH STREET, NO. 1752, ARLINGTON, VA 22209

132008
01-23-12

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Check if Schedule O contains a response to any question in this Part VII

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.132007 01-23-12

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A) Name and title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position <small>(do not check more than one box, unless person is both an officer and a director/trustee)</small> | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|--------|---|--|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| | | | | | | | | | | |
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| | | | | | | | | | | |
| 1b Sub-total | | | | | | | | 117,412. | 0. | 32,053. |
| c Total from continuation sheets to Part VII, Section A | | | | | | | | 0. | 0. | 0. |
| d Total (add lines 1b and 1c) | | | | | | | | 117,412. | 0. | 32,053. |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶

| | | Yes | No |
|---|--|-----|----|
| 3 | Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual | 3 | X |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual | 4 | X |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person | 5 | X |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|--|---------------------------------------|---------------------|
| HONEYWELL INTERNATIONAL, 1985 DOUGLAS DRIVE, GOLDEN VALLEY, MN 55422-3992 | ADMINISTRATIVE AND RECYCLING SERVICES | 300,096. |
| | | |
| | | |
| | | |
| | | |
| 2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization | | 1 |

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|--|--|--|---------------------------|---------------|------------------------------------|----------------------------|---|
| Part VIII Statement of Revenue | | | | (A) | (B) | (C) | (D) |
| | | | | Total revenue | Related or exempt function revenue | Unrelated business revenue | Revenue excluded from tax under sections 512, 513, or 514 |
| Contributions, Gifts, Grants and Other Similar Amounts | 1 a | Federated campaigns | 1a | | | | |
| | b | Membership dues | 1b | | | | |
| | c | Fundraising events | 1c | | | | |
| | d | Related organizations | 1d | | | | |
| | e | Government grants (contributions) | 1e | | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above | 1f | | | | |
| | g | Noncash contributions included in lines 1a-1f: \$ | | | | | |
| | h | Total. Add lines 1a-1f | | | | | |
| Program Service Revenue | 2 a | MEMBERSHIP DUES | Business Code 900099 | 802,749. | 802,749. | | |
| | b | SITE PARTICIPATION FEE | 900099 | 32,159. | 32,159. | | |
| | c | | | | | | |
| | d | | | | | | |
| | e | | | | | | |
| | f | All other program service revenue | | | | | |
| | g | Total. Add lines 2a-2f | | 834,908. | | | |
| | 3 | Investment income (including dividends, interest, and other similar amounts) | | 239. | | | 239. |
| 4 | Income from investment of tax-exempt bond proceeds | | | | | | |
| 5 | Royalties | | | | | | |
| Other Revenue | 6 a | Gross rents | (i) Real (ii) Personal | | | | |
| | b | Less: rental expenses | | | | | |
| | c | Rental income or (loss) | | | | | |
| | d | Net rental income or (loss) | | | | | |
| | 7 a | Gross amount from sales of assets other than inventory | (i) Securities (ii) Other | | | | |
| | b | Less: cost or other basis and sales expenses | | | | | |
| | c | Gain or (loss) | | | | | |
| | d | Net gain or (loss) | | | | | |
| | 8 a | Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18 | a | | | | |
| | b | Less: direct expenses | b | | | | |
| | c | Net income or (loss) from fundraising events | | | | | |
| | 9 a | Gross income from gaming activities. See Part IV, line 19 | a | | | | |
| | b | Less: direct expenses | b | | | | |
| | c | Net income or (loss) from gaming activities | | | | | |
| | 10 a | Gross sales of inventory, less returns and allowances | a | | | | |
| | b | Less: cost of goods sold | b | | | | |
| | c | Net income or (loss) from sales of inventory | | | | | |
| | Miscellaneous Revenue | | | Business Code | | | |
| 11 a | | | | | | | |
| b | | | | | | | |
| c | | | | | | | |
| d | All other revenue | | | | | | |
| e | Total. Add lines 11a-11d | | | | | | |
| 12 | Total revenue. See instructions. | | 835,147. | 834,908. | 0. | 239. | |

Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

54-1830284 Page 10

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 | | | | |
| 2 Grants and other assistance to individuals in the United States. See Part IV, line 22 | | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 143,277. | | | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | 53,611. | | | |
| 8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions) | | | | |
| 9 Other employee benefits | | | | |
| 10 Payroll taxes | 7,981. | | | |
| 11 Fees for services (non-employees): | | | | |
| a Management | | | | |
| b Legal | 93,272. | | | |
| c Accounting | 8,500. | | | |
| d Lobbying | | | | |
| e Professional fundraising services. See Part IV, line 17 | | | | |
| f Investment management fees | | | | |
| g Other | 23,331. | | | |
| 12 Advertising and promotion | 65,312. | | | |
| 13 Office expenses | 38,474. | | | |
| 14 Information technology | 31,209. | | | |
| 15 Royalties | | | | |
| 16 Occupancy | | | | |
| 17 Travel | 28,108. | | | |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 Conferences, conventions, and meetings | | | | |
| 20 Interest | | | | |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | | | | |
| 23 Insurance | 13,945. | | | |
| 24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a HONEYWELL REIMBURSEMENT | 299,877. | | | |
| b INCENTIVE PAYMENTS | 37,860. | | | |
| c BINS EXPENSE | 18,859. | | | |
| d SPONSORSHIP AND MEMBERS | 7,204. | | | |
| e All other expenses | | | | |
| 25 Total functional expenses. Add lines 1 through 24e | 870,820. | | | |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. | | | | |

Check here ☐ if following SOP 98-2 (ASC 958-720)

132010 01-23-12

Form 990 (2011)

Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

54-1830284 Page 11

Part X Balance Sheet

| | | (A) Beginning of year | | (B) End of year |
|---|---|--------------------------|----------|--------------------|
| Assets | 1 Cash - non-interest-bearing | 66,595. | 1 | 60,677. |
| | 2 Savings and temporary cash investments | 100,905. | 2 | 101,016. |
| | 3 Pledges and grants receivable, net | | 3 | |
| | 4 Accounts receivable, net | 16,000. | 4 | 2,025. |
| | 5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | | 5 | |
| | 6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) | | 6 | |
| | 7 Notes and loans receivable, net | | 7 | |
| | 8 Inventories for sale or use | | 8 | |
| | 9 Prepaid expenses and deferred charges | 6,498. | 9 | 8,479. |
| | 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a | | |
| | b Less: accumulated depreciation | 10b | 10c | |
| | 11 Investments - publicly traded securities | | 11 | |
| | 12 Investments - other securities. See Part IV, line 11 | | 12 | |
| | 13 Investments - program-related. See Part IV, line 11 | | 13 | |
| | 14 Intangible assets | | 14 | |
| 15 Other assets. See Part IV, line 11 | | 15 | | |
| 16 Total assets. Add lines 1 through 15 (must equal line 34) | 189,998. | 16 | 172,197. | |
| Liabilities | 17 Accounts payable and accrued expenses | 324,829. | 17 | 342,701. |
| | 18 Grants payable | | 18 | |
| | 19 Deferred revenue | | 19 | |
| | 20 Tax-exempt bond liabilities | | 20 | |
| | 21 Escrow or custodial account liability. Complete Part IV of Schedule D | | 21 | |
| | 22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | | 22 | |
| | 23 Secured mortgages and notes payable to unrelated third parties | | 23 | |
| | 24 Unsecured notes and loans payable to unrelated third parties | | 24 | |
| | 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | | 25 | |
| | 26 Total liabilities. Add lines 17 through 25 | 324,829. | 26 | 342,701. |
| Net Assets or Fund Balances | Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. | | | |
| | 27 Unrestricted net assets | -134,831. | 27 | -170,504. |
| | 28 Temporarily restricted net assets | | 28 | |
| | 29 Permanently restricted net assets | | 29 | |
| | Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34. | | | |
| | 30 Capital stock or trust principal, or current funds | | 30 | |
| | 31 Paid-in or capital surplus, or land, building, or equipment fund | | 31 | |
| | 32 Retained earnings, endowment, accumulated income, or other funds | | 32 | |
| | 33 Total net assets or fund balances | -134,831. | 33 | -170,504. |
| 34 Total liabilities and net assets/fund balances | 189,998. | 34 | 172,197. | |

Form 990 (2011)

Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

54-1830284 Page 12

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

| | | | |
|---|--|---|-----------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 835,147. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 870,820. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | -35,673. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | -134,831. |
| 5 | Other changes in net assets or fund balances (explain in Schedule O) | 5 | 0. |
| 6 | Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) | 6 | -170,504. |

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☒

| | Yes | No |
|---|-----|----|
| 1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. | | |
| 2a Were the organization's financial statements compiled or reviewed by an independent accountant? | X | |
| b Were the organization's financial statements audited by an independent accountant? | | X |
| c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. | X | |
| d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | | |
| 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | | X |
| b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits. | | |

Form 990 (2011)

SCHEDULE C
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.**

OMB No. 1545-0047

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If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization

THERMOSTAT RECYCLING CORPORATION

Employer identification number

54-1830284

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures

▶ \$

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes☐ No

4a Was a correction made?

☐ Yes☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527

exempt function activities

▶ \$

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL,

line 17b

▶ \$

4 Did the filing organization file Form 1120-POL for this year?

☐ Yes☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0- |
|----------|-------------|---------|--|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2011

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01-27-12

Schedule C (Form 990 or 990-EZ) 2011 **THE THERMOSTAT RECYCLING CORPORATION** 54-1830284 Page 2**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | | (a) Filing organization's totals | (b) Affiliated group totals | | | | | | | | | | | | |
|---|--|---|------------------------------------|--------------------|-------------------------------|---|--|---|--|--|---|-------------------|--------------|--|--|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) | | | | | | | | | | | | | | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | | | | | | | | | | | | | | | |
| c Total lobbying expenditures (add lines 1a and 1b) | | | | | | | | | | | | | | | |
| d Other exempt purpose expenditures | | | | | | | | | | | | | | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | | | | | | | | | | | | | | | |
| f Lobbying nontaxable amount. Enter the amount from the following table in both columns. | | | | | | | | | | | | | | | |
| <table border="1"> <thead> <tr> <th>If the amount on line 1c, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1c.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table> | | If the amount on line 1c, column (a) or (b) is: | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1c. | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | Over \$17,000,000 | \$1,000,000. | | |
| If the amount on line 1c, column (a) or (b) is: | The lobbying nontaxable amount is: | | | | | | | | | | | | | | |
| Not over \$500,000 | 20% of the amount on line 1c. | | | | | | | | | | | | | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | | | | | | | | | | | | | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | | | | | | | | | | | | | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | | | | | | | | | | | | | | |
| Over \$17,000,000 | \$1,000,000. | | | | | | | | | | | | | | |
| g Grassroots nontaxable amount (enter 25% of line 1f) | | | | | | | | | | | | | | | |
| h Subtract line 1g from line 1a. If zero or less, enter -0- | | | | | | | | | | | | | | | |
| i Subtract line 1f from line 1c. If zero or less, enter -0- | | | | | | | | | | | | | | | |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | <input type="checkbox"/> Yes | <input type="checkbox"/> No | | | | | | | | | | | | |

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|--|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2008 | (b) 2009 | (c) 2010 | (d) 2011 | (e) Total |
| 2a Lobbying nontaxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column (e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots nontaxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Schedule C (Form 990 or 990-EZ) 2011

Schedule C (Form 990 or 990-EZ) 2011 **THE THERMOSTAT RECYCLING CORPORATION** 54-1830284 Page 3**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

| | (a) | | (b) |
|---|-----|----|--------|
| | Yes | No | Amount |
| 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | | | |
| a Volunteers? | | | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | | | |
| c Media advertisements? | | | |
| d Mailings to members, legislators, or the public? | | | |
| e Publications, or published or broadcast statements? | | | |
| f Grants to other organizations for lobbying purposes? | | | |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | | | |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | | |
| i Other activities? | | | |
| j Total. Add lines 1c through 1i | | | |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | Yes | No |
|---|-----|----|
| 1 Were substantially all (90% or more) dues received nondeductible by members? | | X |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? | X | |
| 3 Did the organization agree to carry over lobbying and political expenditures from the prior year? | | X |

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

| | | |
|--|----|--|
| 1 Dues, assessments and similar amounts from members | 1 | |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| a Current year | 2a | |
| b Carryover from last year | 2b | |
| c Total | 2c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A; and Part II-B, line 1. Also, complete this part for any additional information.

Schedule C (Form 990 or 990-EZ) 2011

132043 01-27-12

SCHEDULE O
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

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Name of the organization

THERMOSTAT RECYCLING CORPORATION

Employer identification number

54-1830284

FORM 990, PART VI, SECTION A, LINE 6: THE INITIAL MEMBERS OF THIS CORPORATION SHALL BE GENERAL ELECTRIC CORPORATION, WHITE-RODGERS CORPORATION, AND HONEYWELL INC. EACH SUCH CORPORATION SHALL BE DEEMED AN ORIGINAL MEMBER OF THE CORPORATION, AND ALL THREE CORPORATIONS MAY BE REFERRED TO COLLECTIVELY IN THE BY-LAWS AS THE ORIGINAL MEMBERS.

FROM TIME TO TIME, THE BOARD OF DIRECTORS MAY INVITE OTHER THERMOSTAT MANUFACTURERS TO PARTICIPATE AS MEMBERS IN THE CORPORATION. SUCH A CORPORATION SHALL BECOME A MEMBER ONLY UPON PAYMENT OF FEES AS PROVIDED UNDER ARTICLE VII OF THE BY-LAWS.

FORM 990, PART VI, SECTION A, LINE 7A: THERE SHALL BE A NOMINATING COMMITTEE OF THE BOARD OF DIRECTORS, WHICH SHALL CONSIST OF THREE DIRECTORS, ALL OF WHOM ARE EMPLOYED BY ORIGINAL MEMBERS. ONE MONTH PRIOR TO THE ANNUAL MEETING OF THE CORPORATION, THE NOMINATING COMMITTEE SHALL APPROVE A SLATE OF NOMINEES MEETING THE QUALIFICATIONS SET FORTH IN SECTION 2 TO BE SUBMITTED TO THE MEMBERS FOR ELECTION AT THE ANNUAL MEETING.

FORM 990, PART VI, SECTION B, LINE 11: A COPY OF FORM 990 IS PROVIDED TO ALL GOVERNING MEMBERS BEFORE IT IS FILED. A REASONABLE AMOUNT OF TIME IS ALLOWED FOR THE GOVERNING MEMBERS TO REVIEW THE FORM 990 AND PROVIDE COMMENTS.

FORM 990, PART VI, SECTION B, LINE 15: COMPENSATION IS ESTABLISHED BY THE NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA). NEMA UTILIZES INDEPENDENT CONSULTANTS AND COMPARABILITY DATA, AMONG OTHER METHODS, TO

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
132211
01-23-12

Schedule O (Form 990 or 990-EZ) (2011)

Schedule O (Form 990 or 990-EZ) (2011)

Page 2

Name of the organization

THERMOSTAT RECYCLING CORPORATION

Employer identification number

54-1830284

DETERMINE THE COMPENSATION OF THE ORGANIZATION'S EXECUTIVE DIRECTOR.

FORM 990, PART VI, SECTION C, LINE 19: TRC MAKES ITS GOVERNING DOCUMENTS,
CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE
PUBLIC UPON REQUEST (VIA E-MAIL OR MAIL).

PART XII, LINE 2C - THIS PROCESS HAS REMAINED UNCHANGED FROM THE PRIOR
YEAR.

COPY